

# *Second harmonic generation in nanoparticle arrays*

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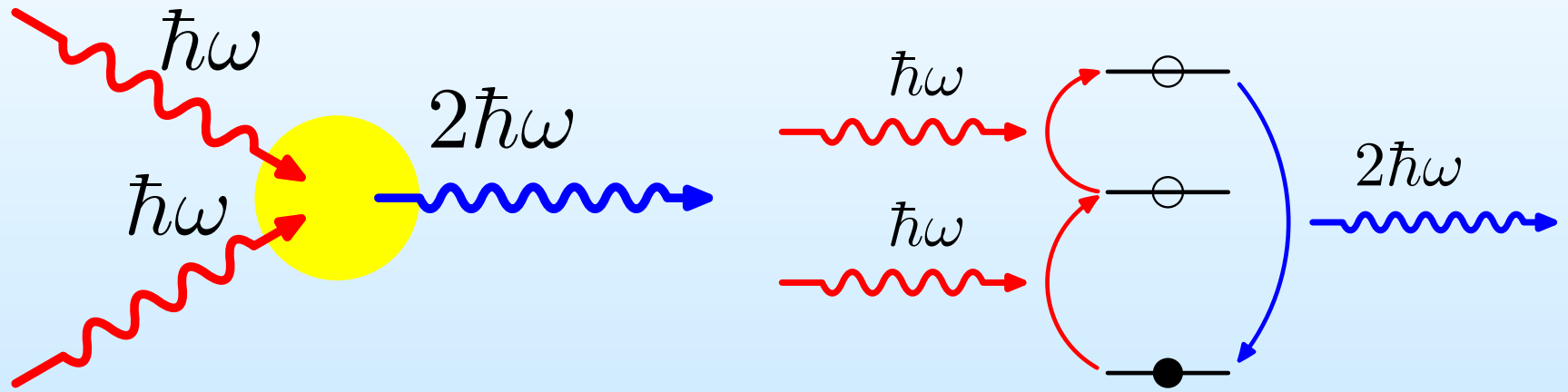
<sup>1</sup>Departamento de Física-UBA

<sup>2</sup>Centro de Ciencias Físicas, UNAM

<sup>3</sup>Centro de Ciencias de la Materia Condensada, UNAM

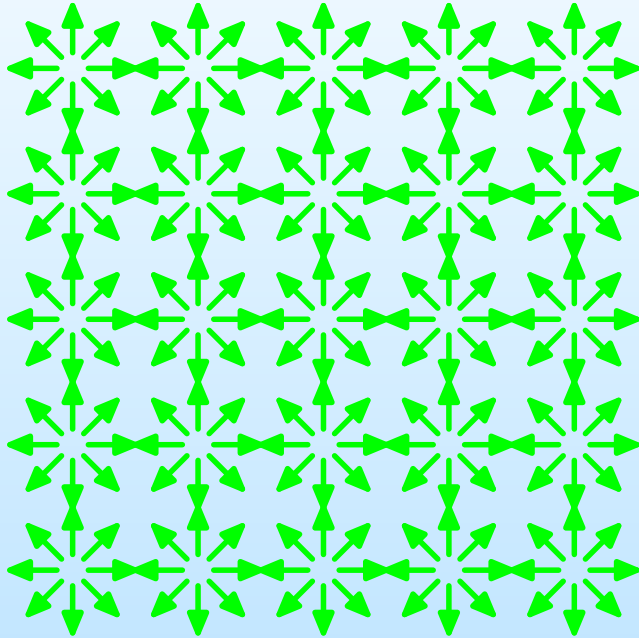
<sup>4</sup>Centro de Investigaciones en Optica

# Second Harmonic Generation



$$\vec{P}(\vec{2}\omega) \propto \vec{E}(\omega)\vec{E}(\omega)$$

## SHG and Symmetry



$$\vec{P}^{(2)} = \chi^{(2)} \vec{E} \vec{E}$$

After an inversion

$$-\vec{P}^{(2)} = \chi_I^{(2)} (-\vec{E})(-\vec{E})$$

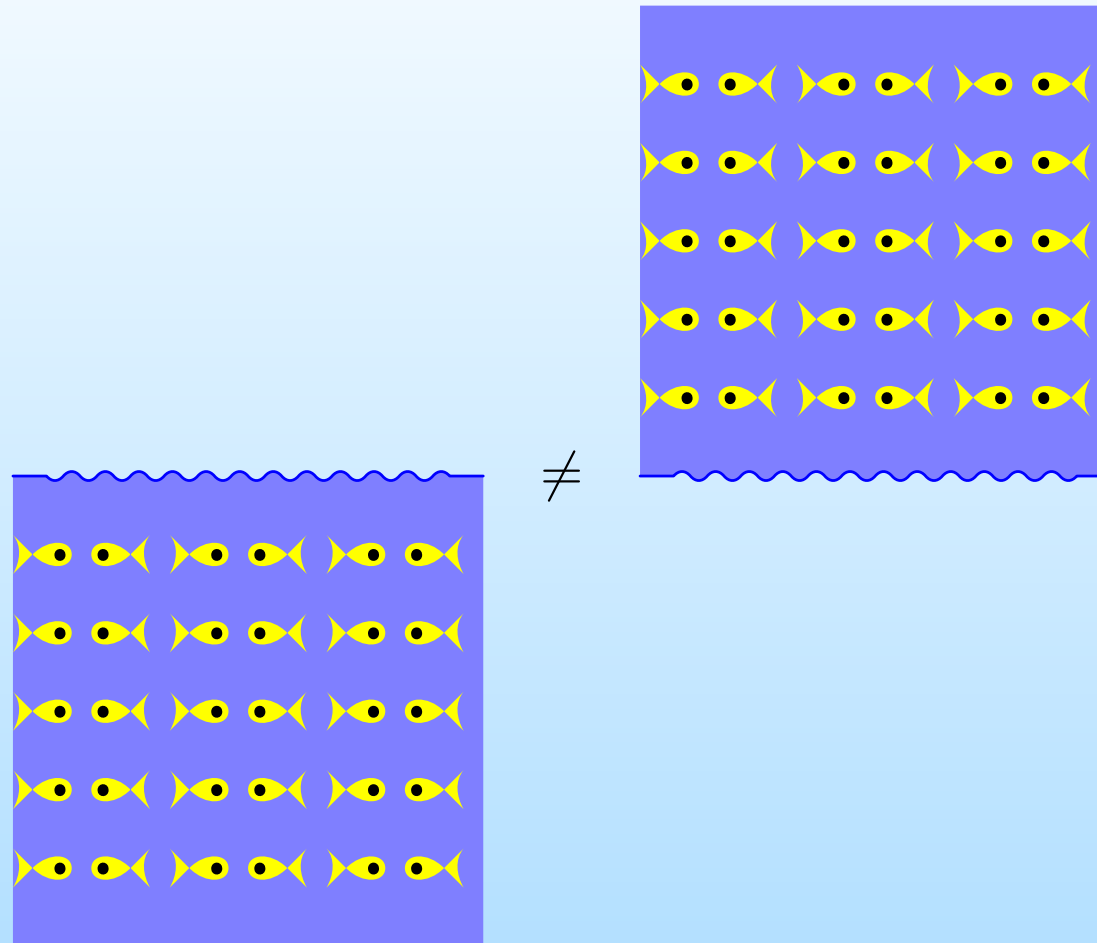
Centrosymmetry  $\Rightarrow$

$$\chi_I^{(2)} = \chi^{(2)}$$

$$\Rightarrow \vec{P}^{(2)} = 0, \quad \chi^{(2)} = 0$$

# Centrosymmetry and Surfaces

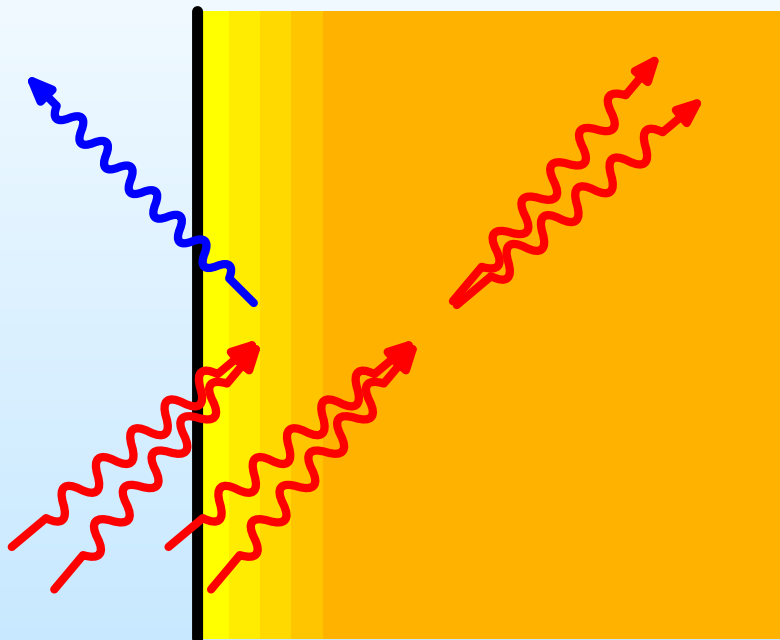
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Surfaces are not centrosymmetric!

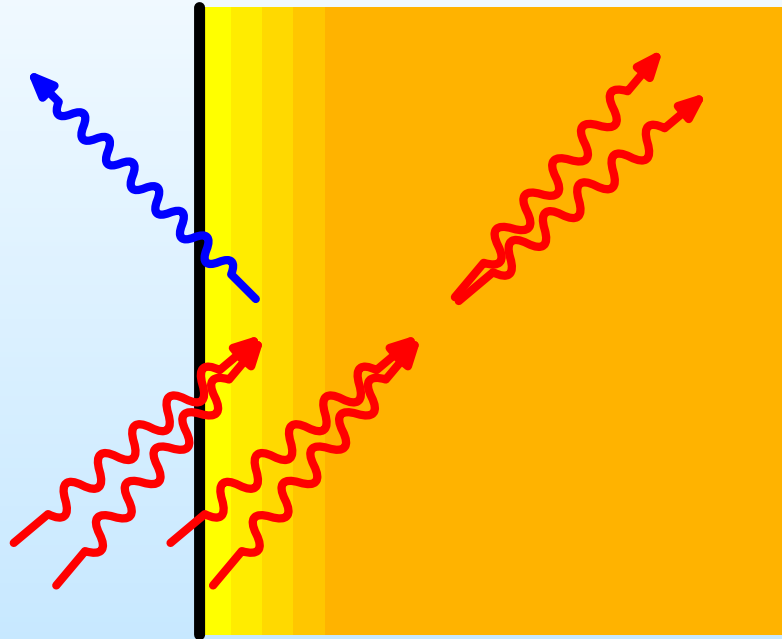
# SHG and Surfaces

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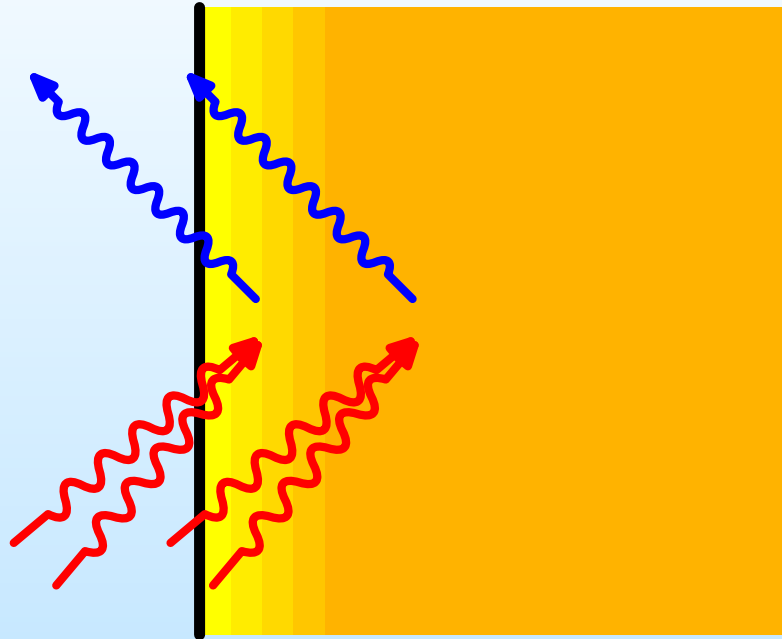
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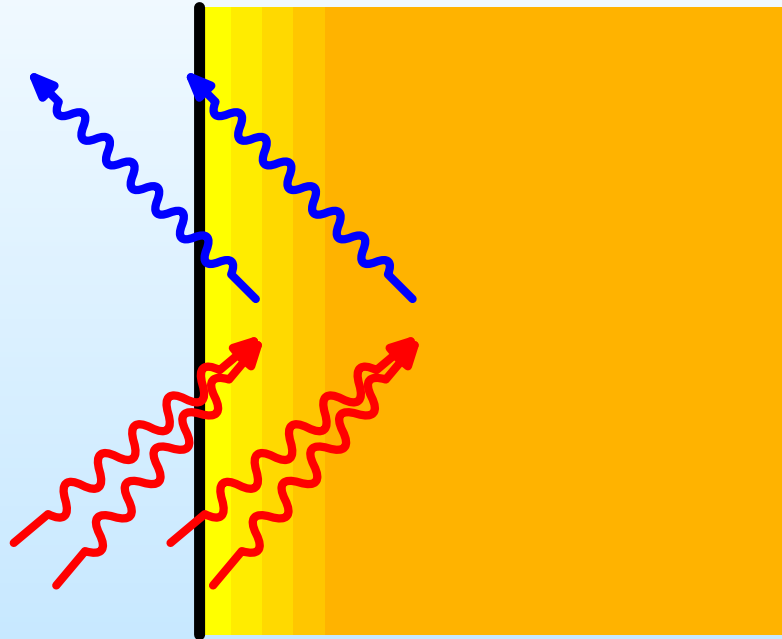
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There might be SHG from bulk...

## SHG and Surfaces

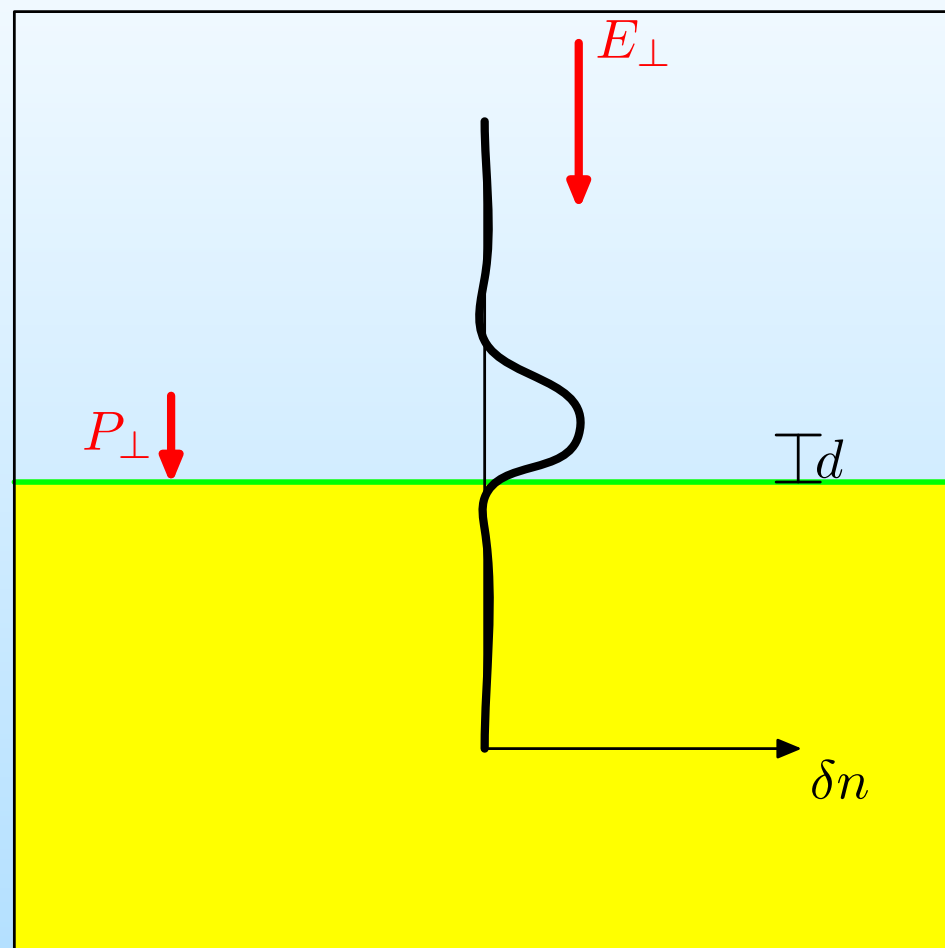


Dipolar SHG  $P_i^{(2)} = \chi_{ijk} E_j E_k$  comes from the surface.  
There might be SHG from bulk...  
but it is *multipolar*

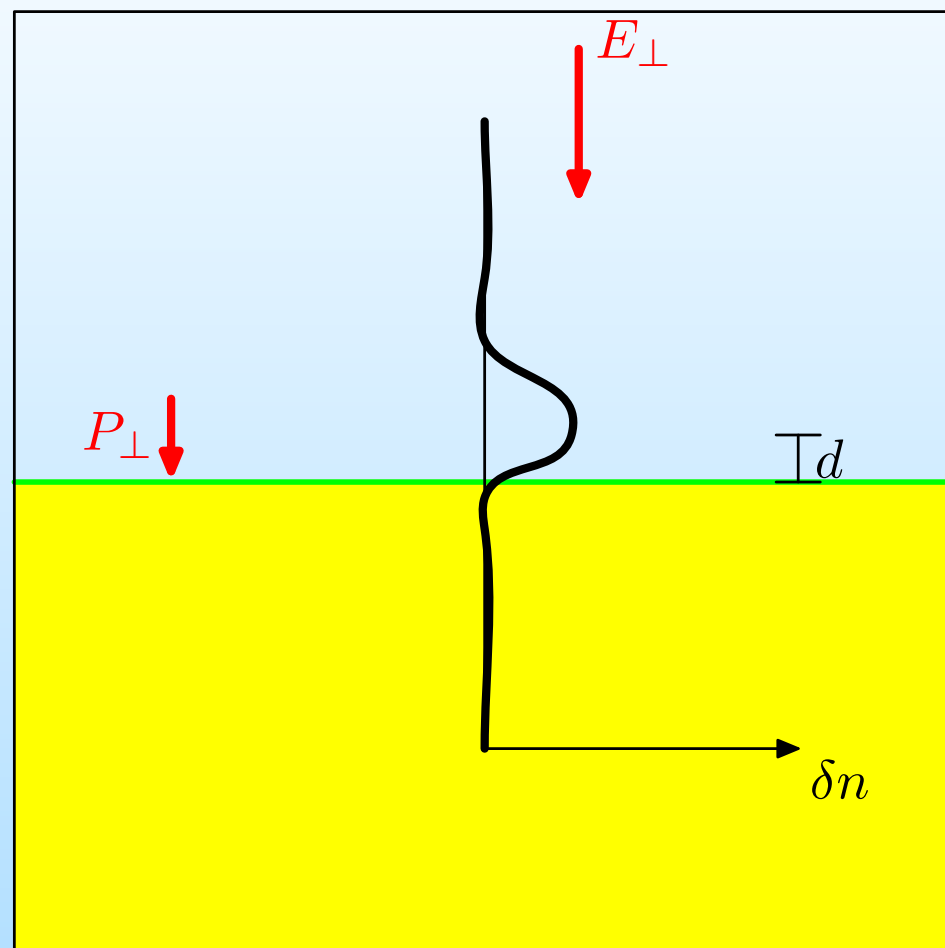
$$P_i^{(2)} = \chi_{ijkl} E_j \partial_k E_l.$$



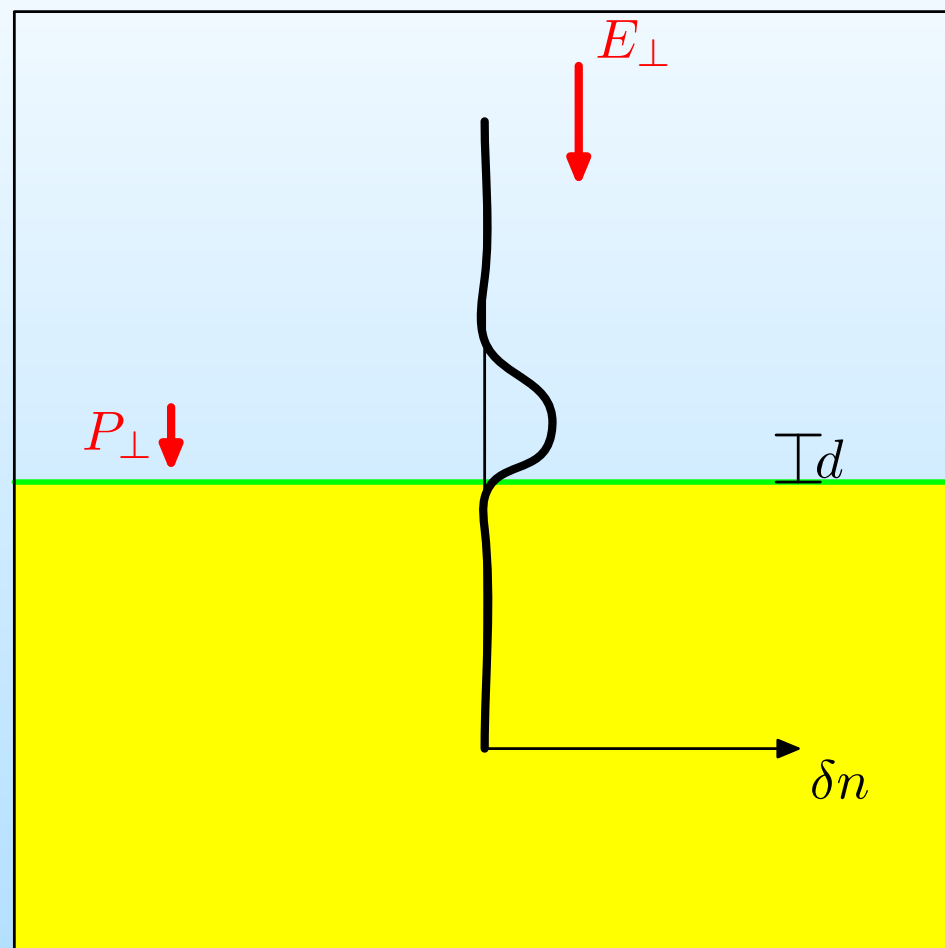
# Nonlinear Surface Response: $a$



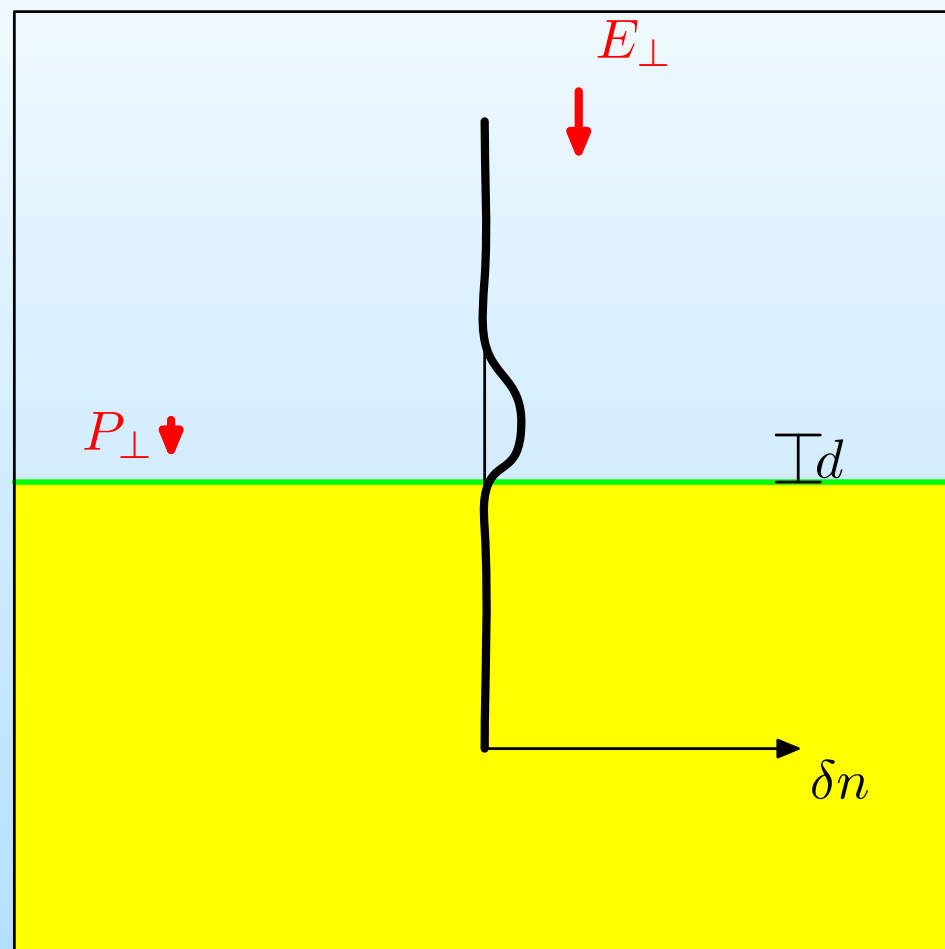
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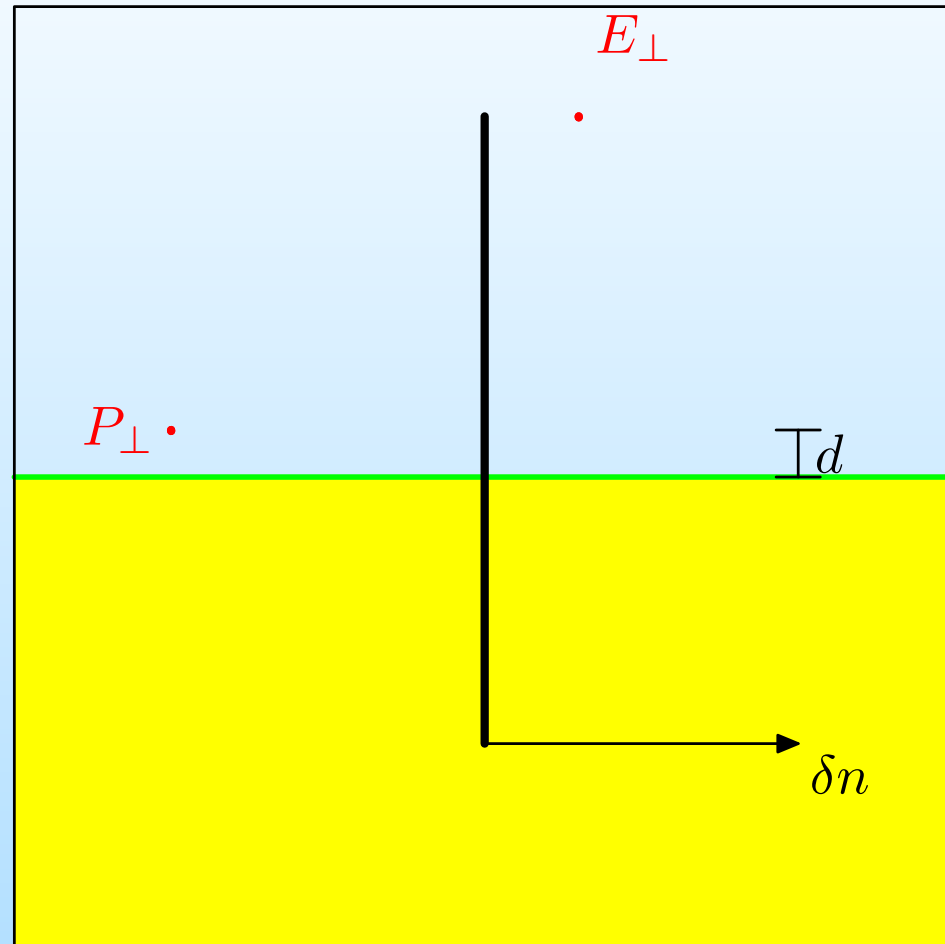
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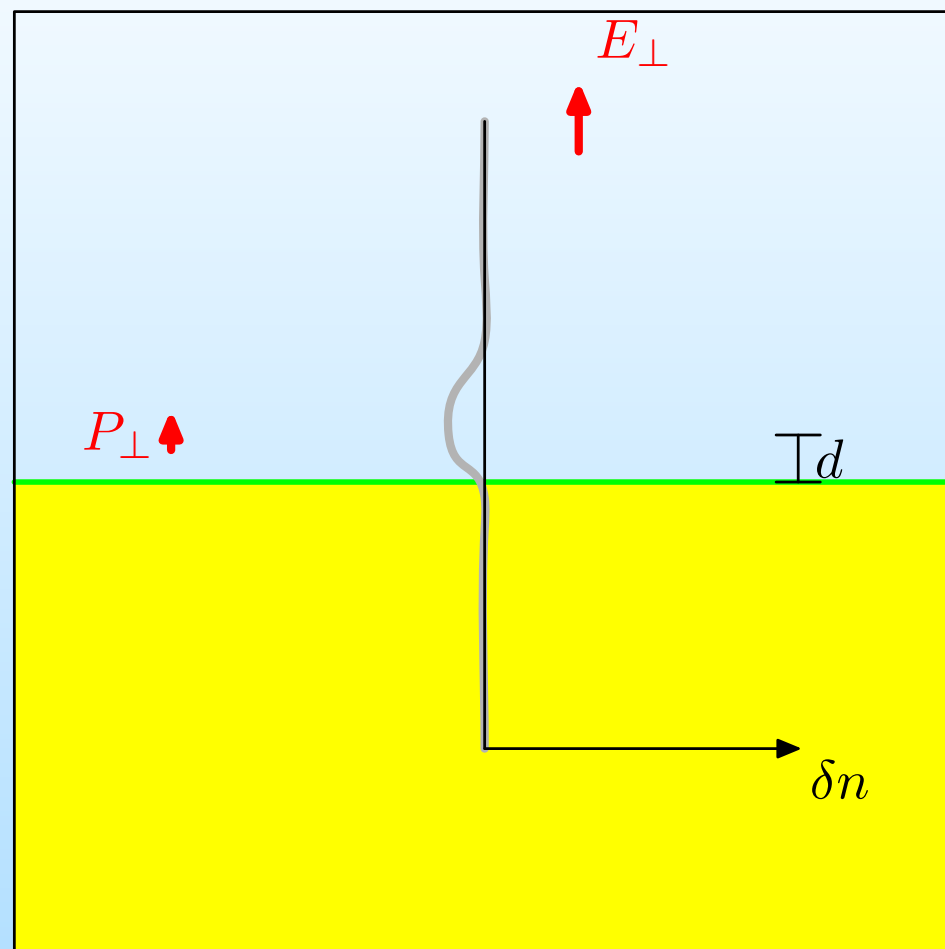
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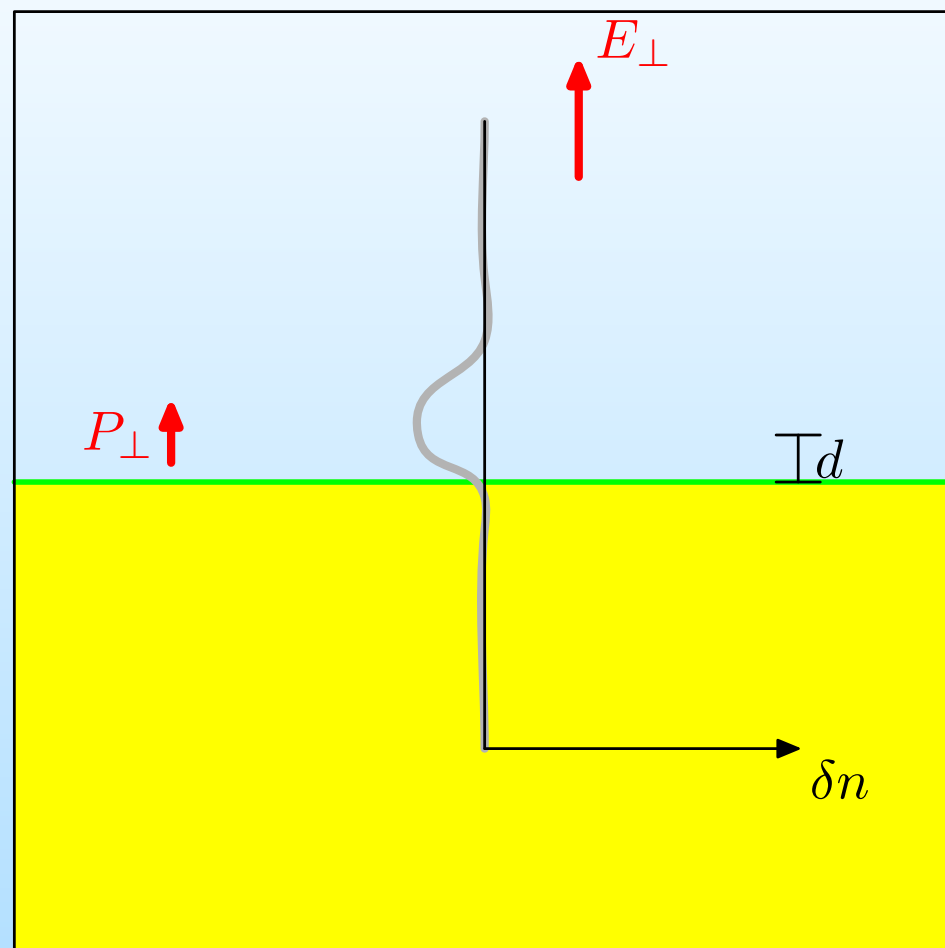
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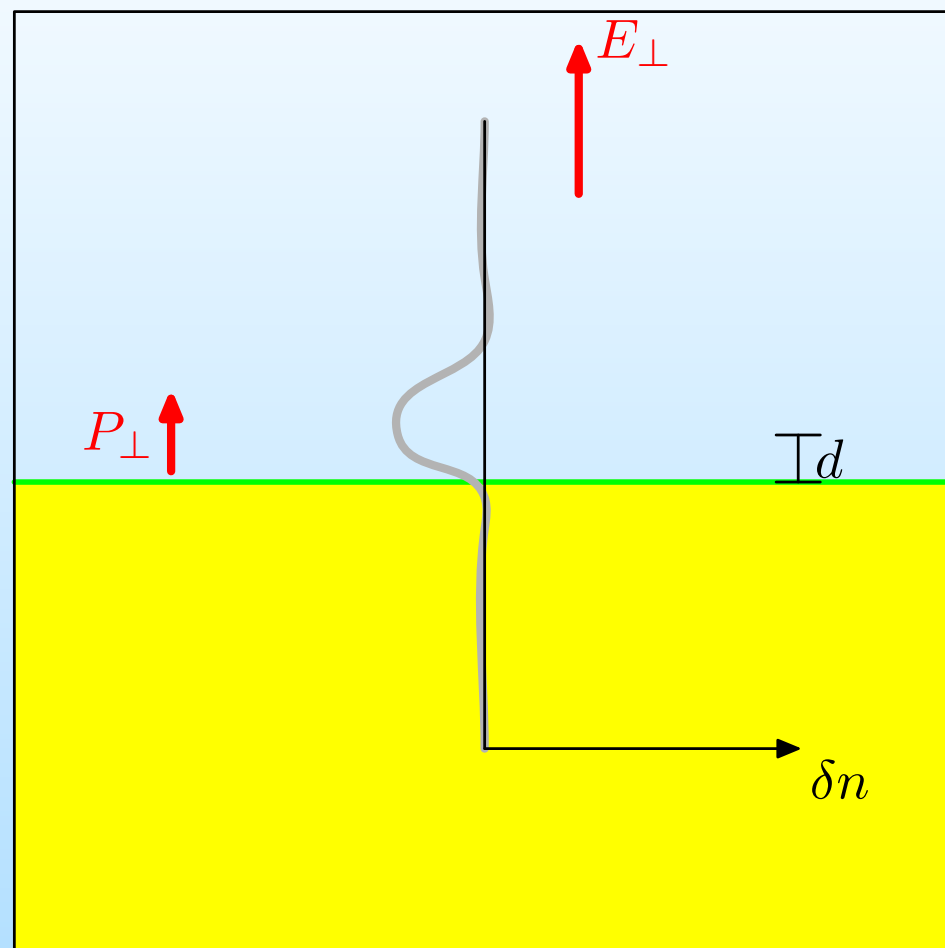
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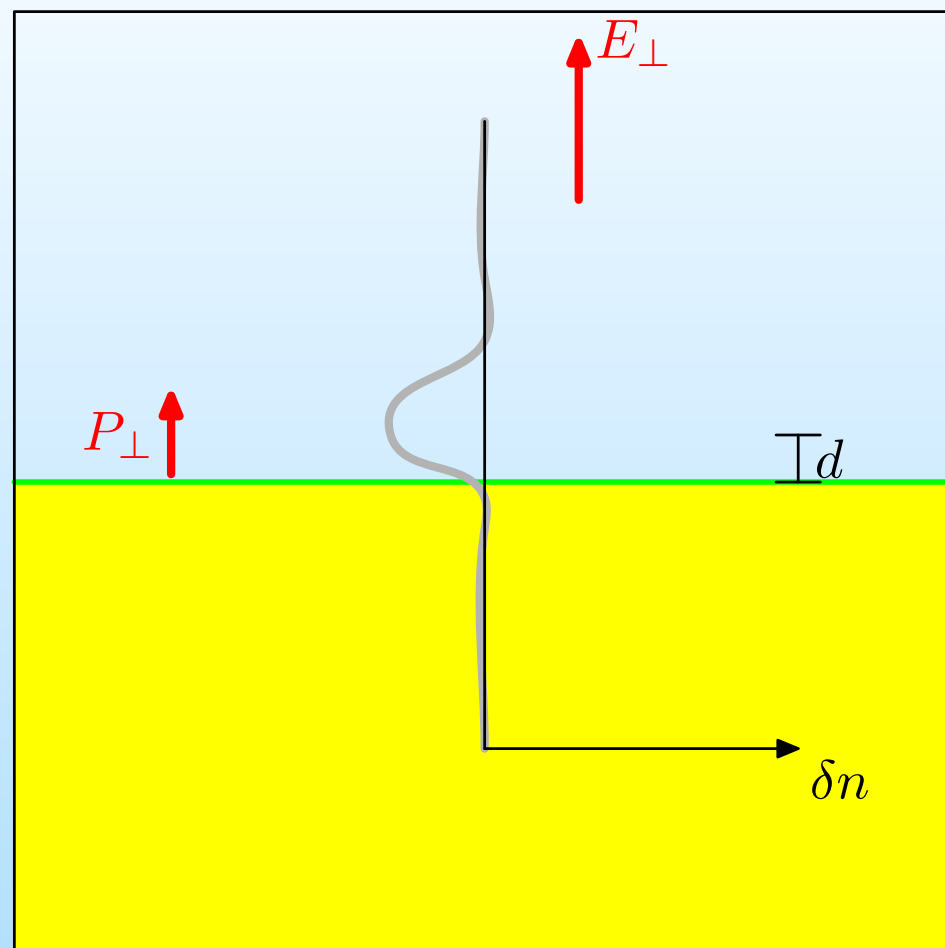


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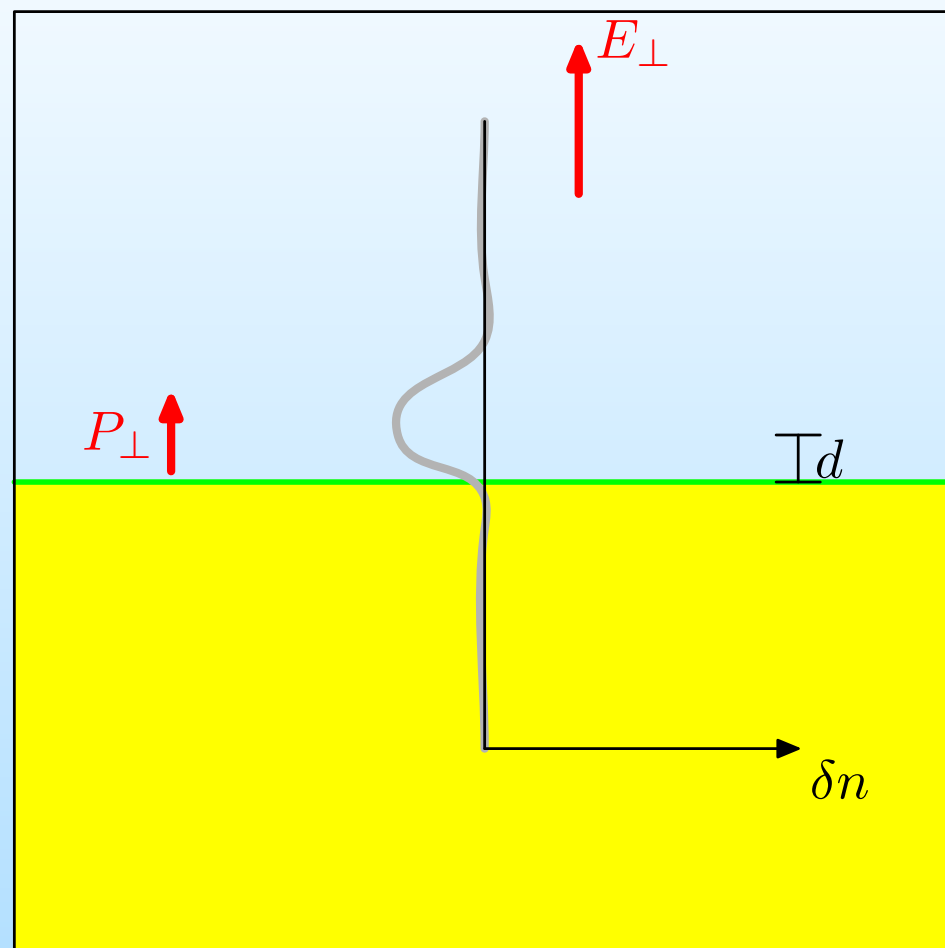




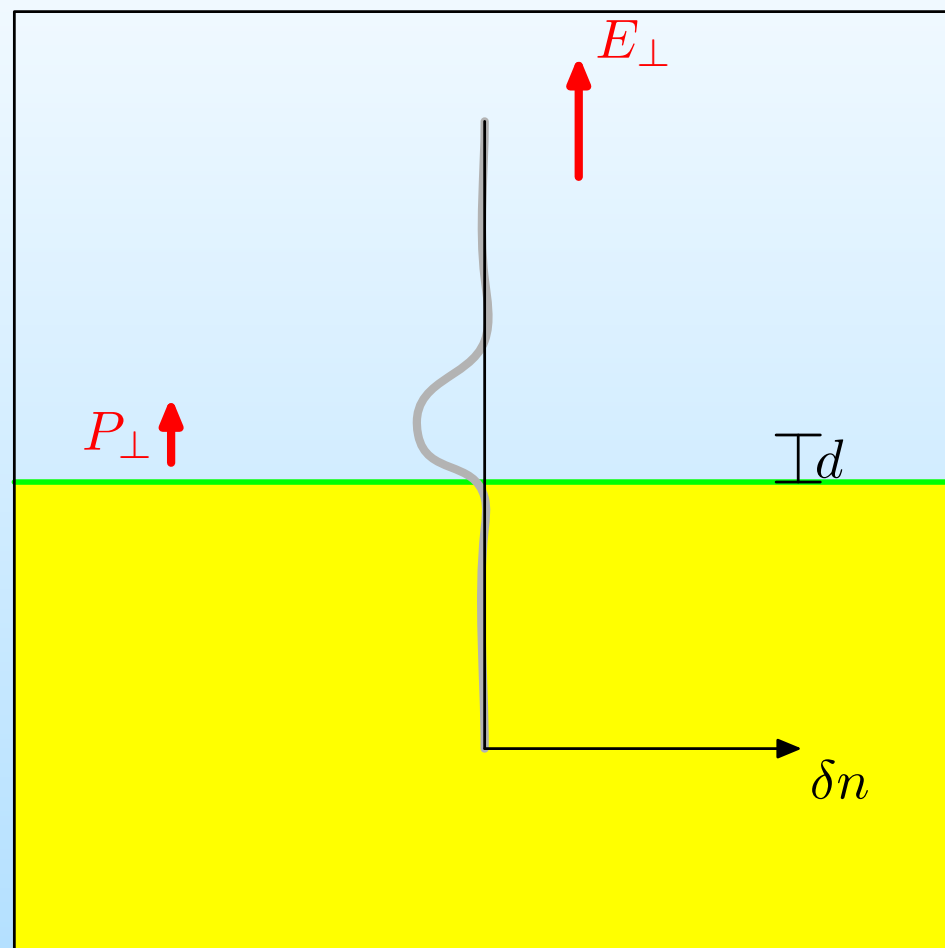
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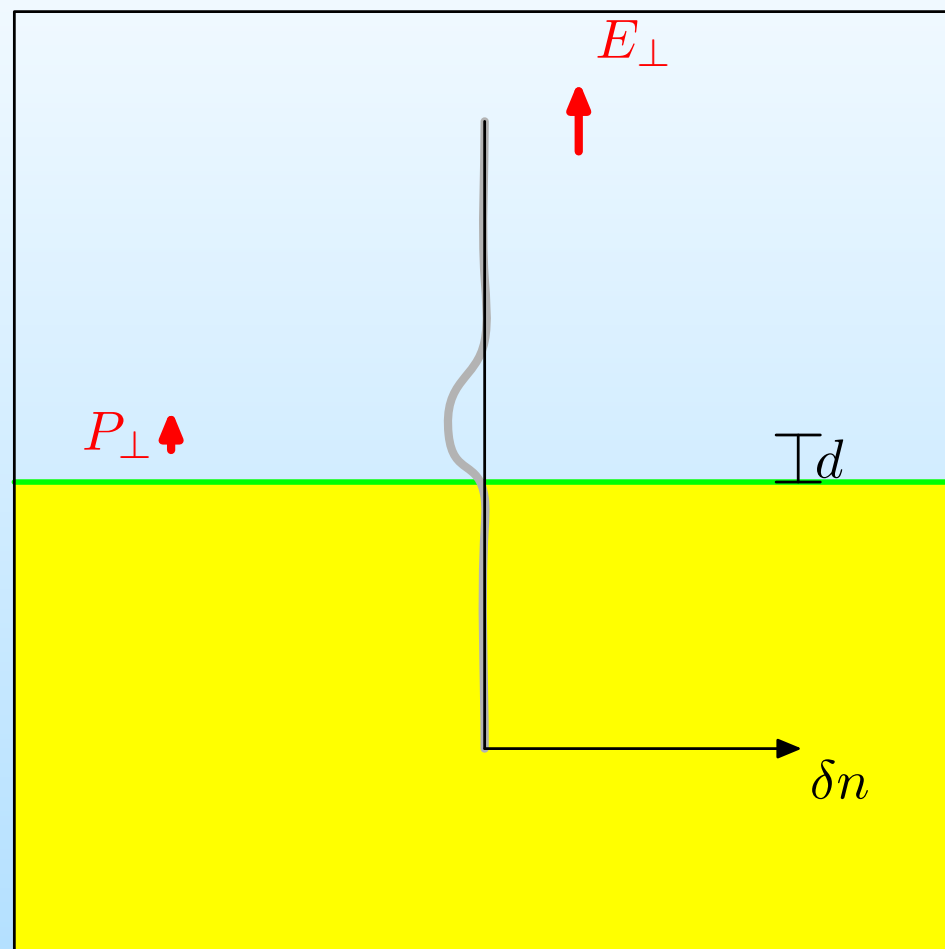
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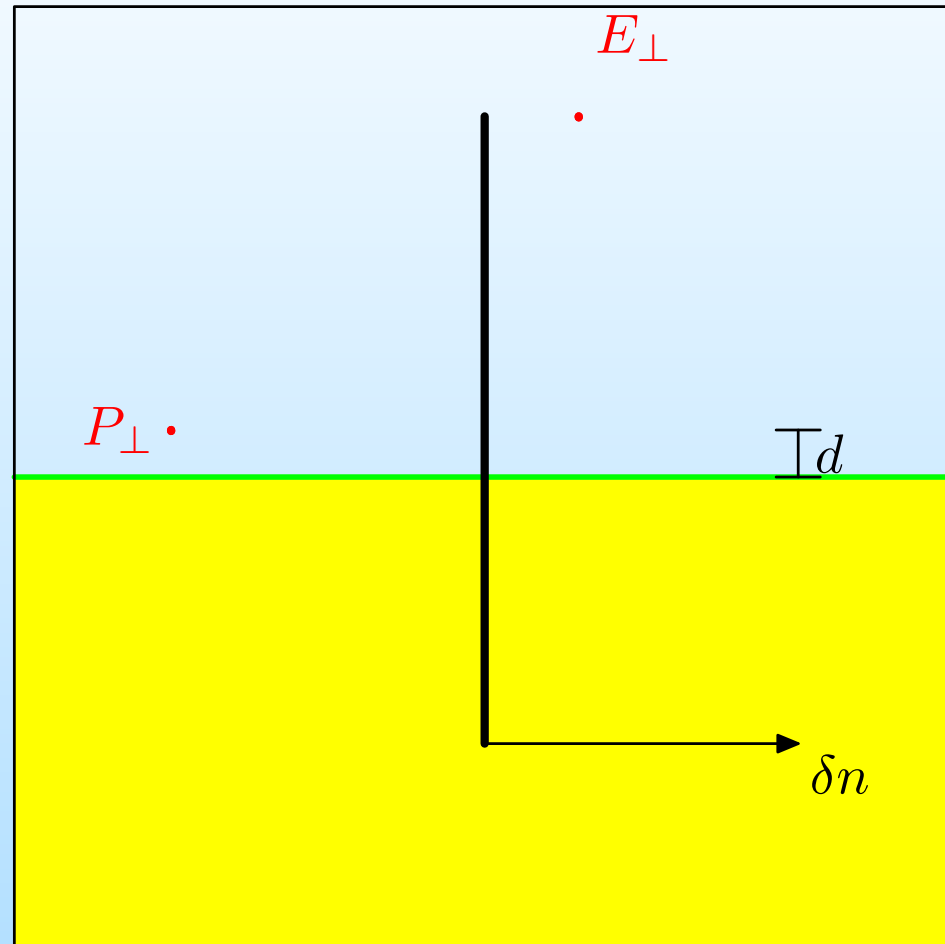
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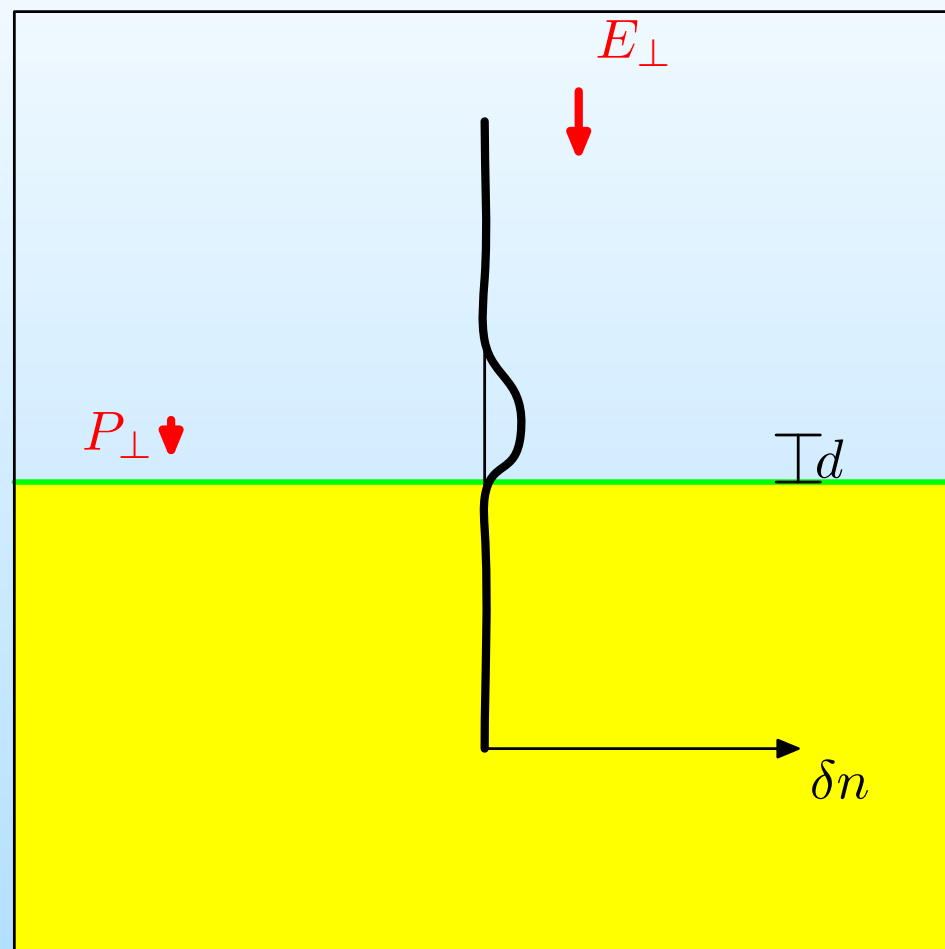
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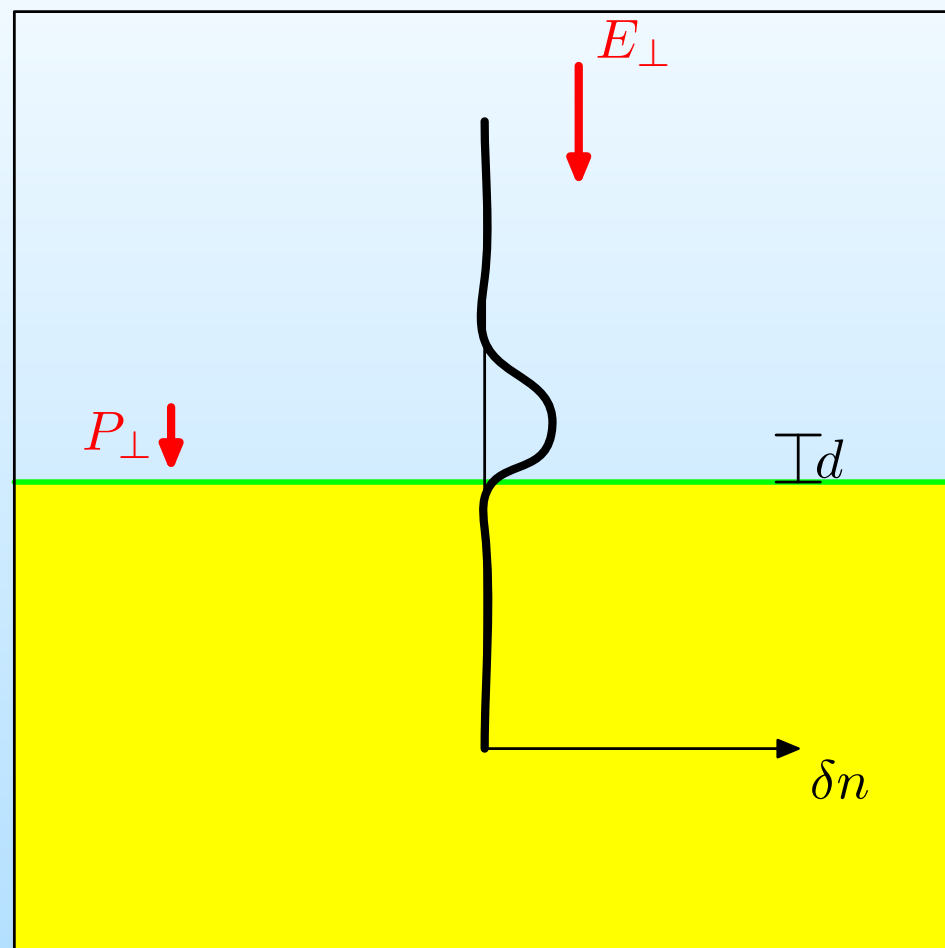
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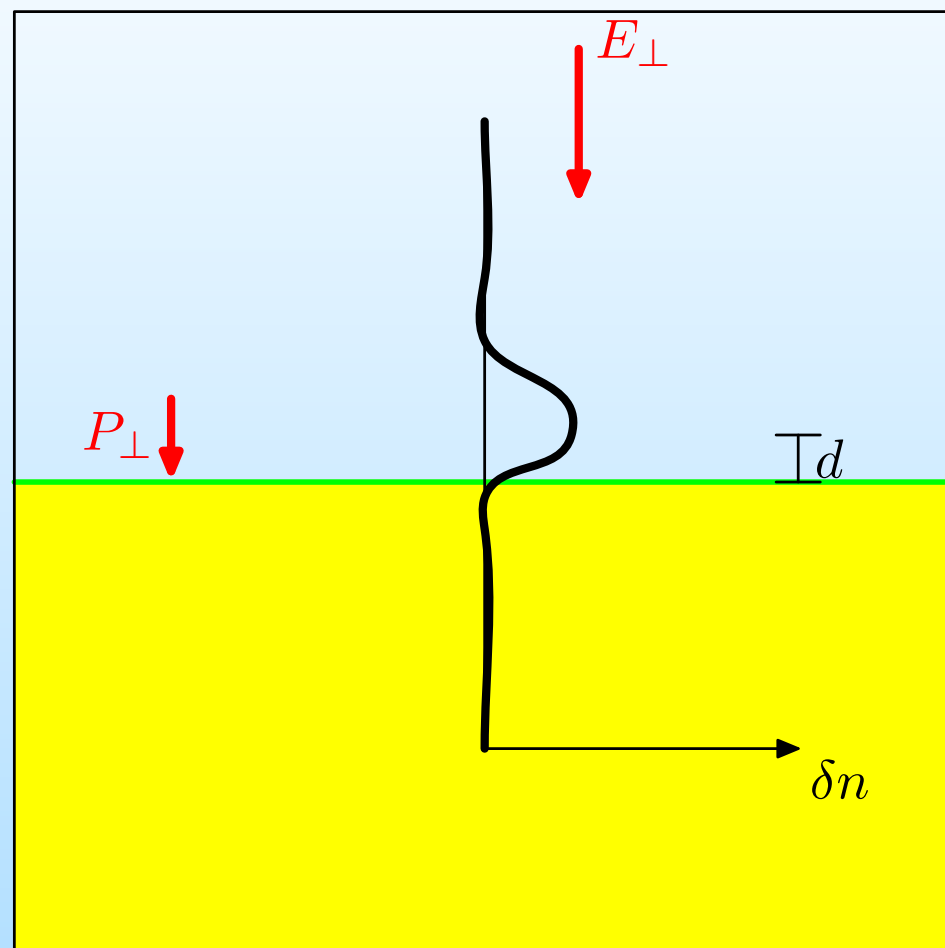
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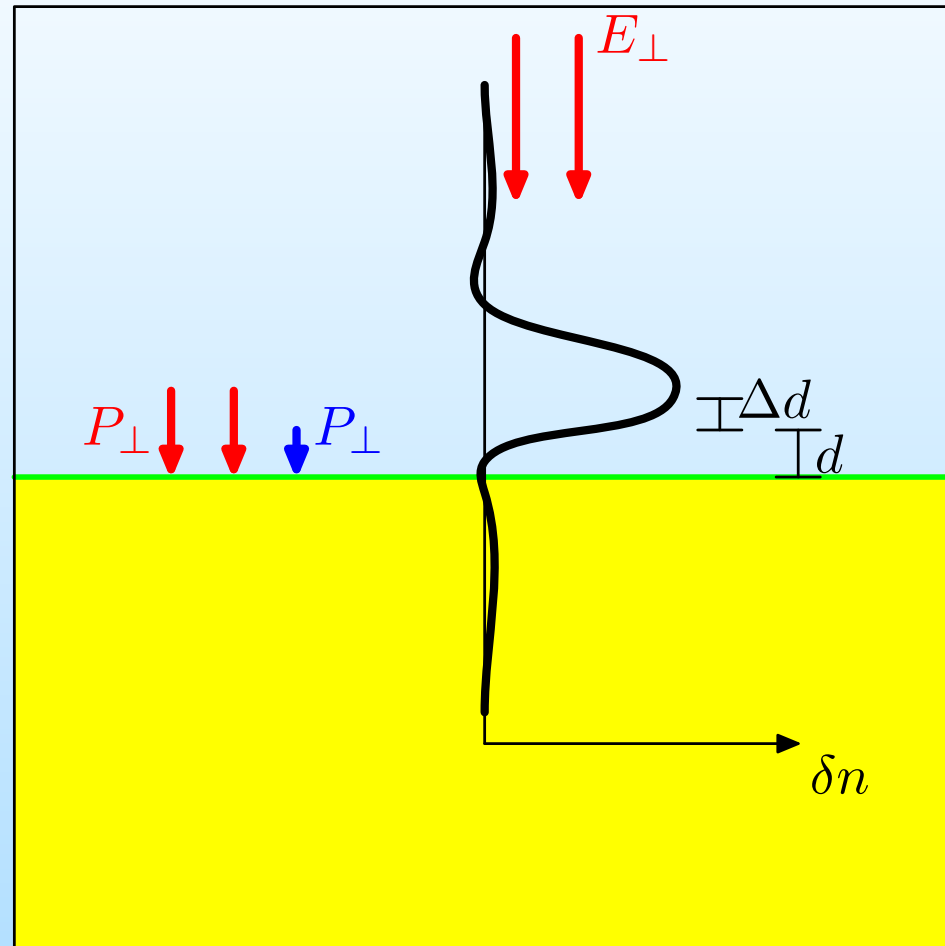


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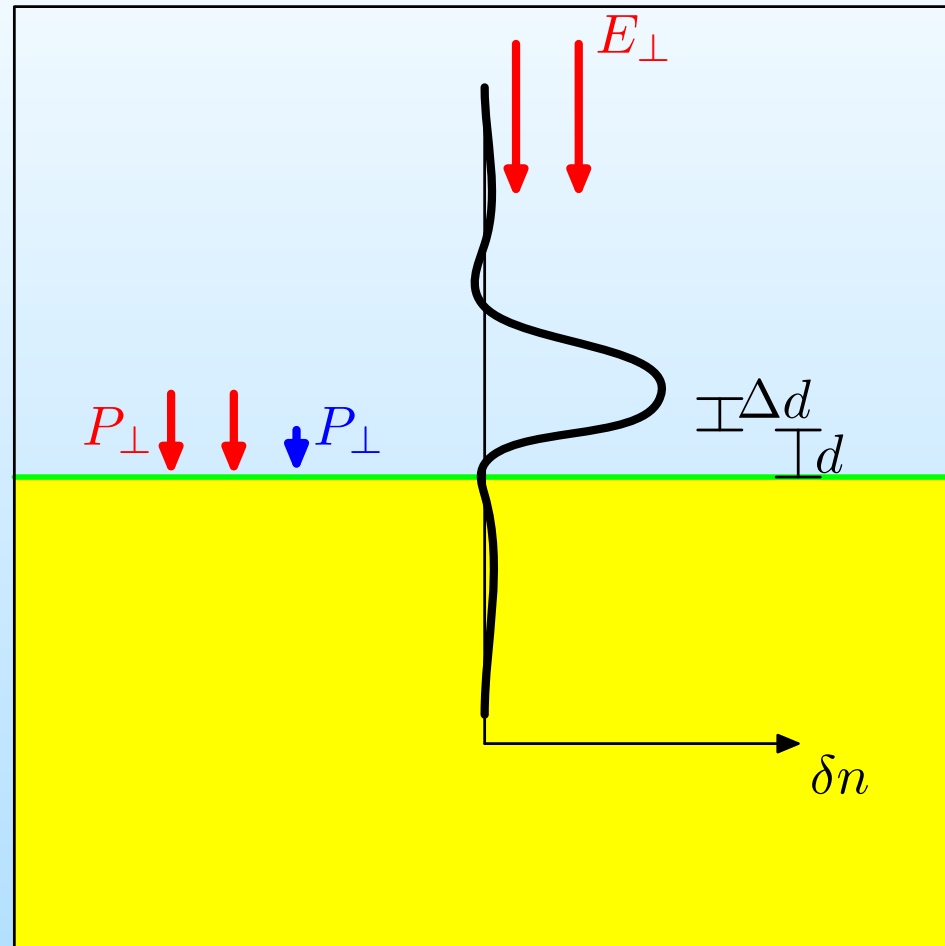


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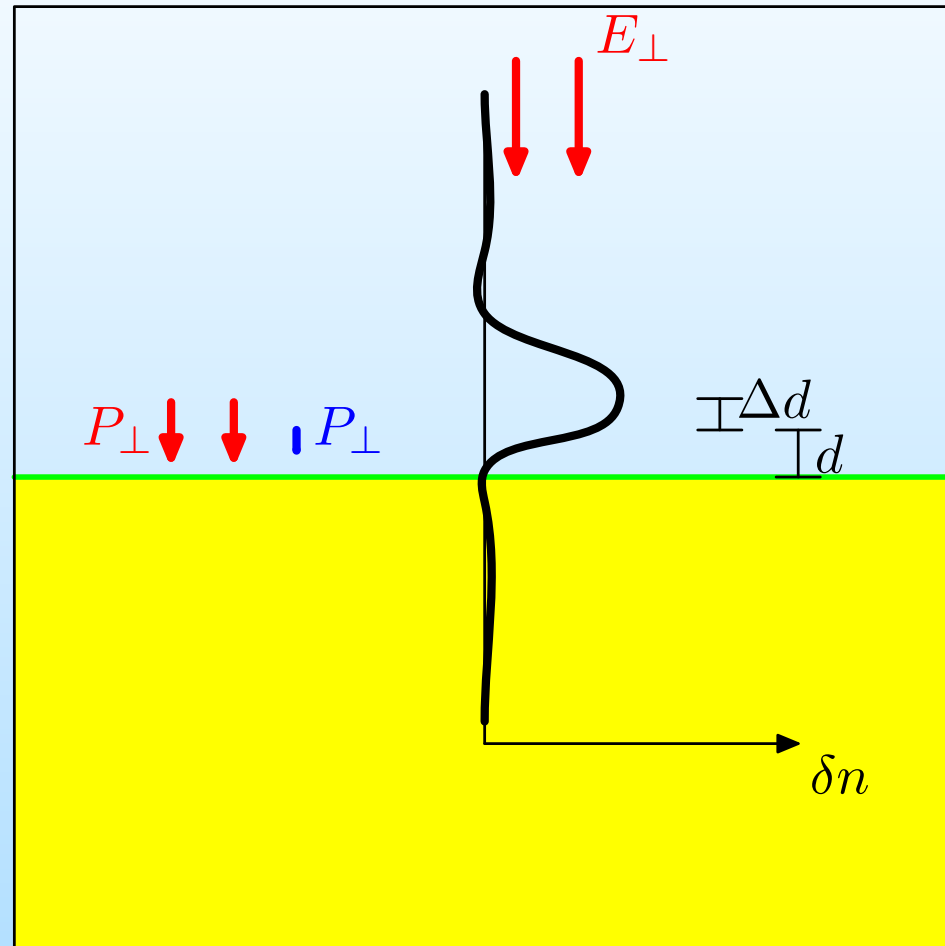
$$\chi_{\perp\perp\perp} \propto a \propto \Delta d$$

# Nonlinear Surface Response: $a$



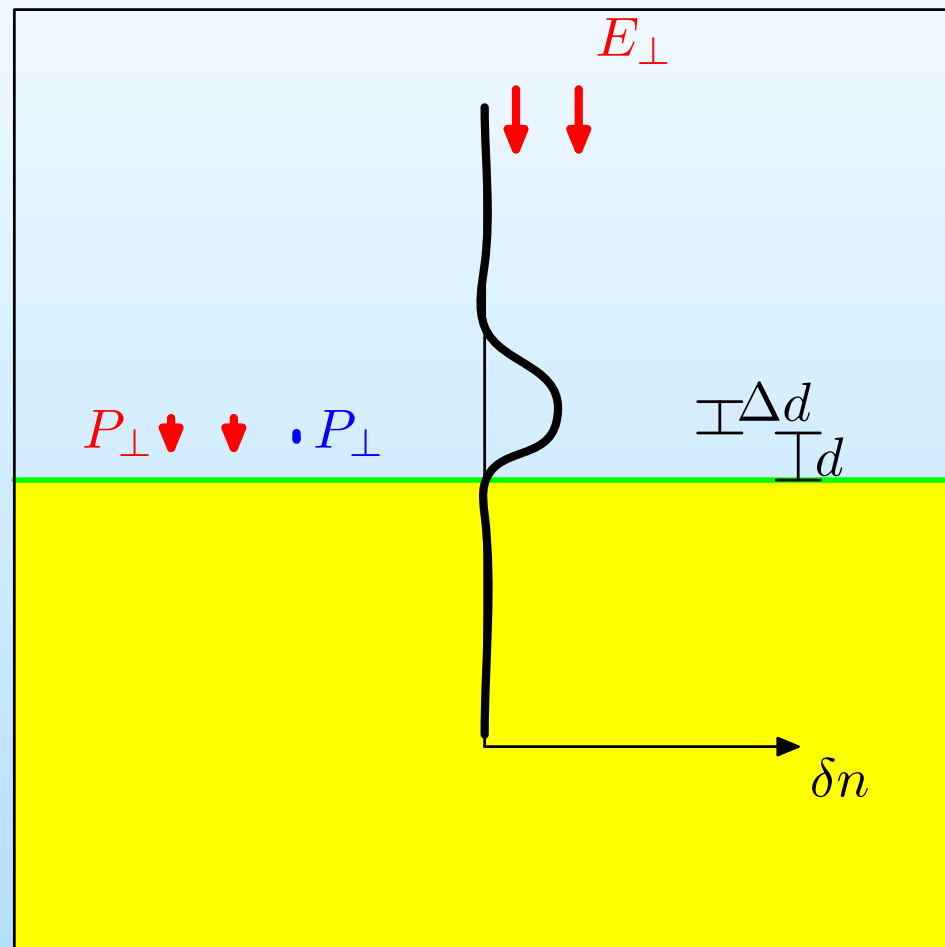
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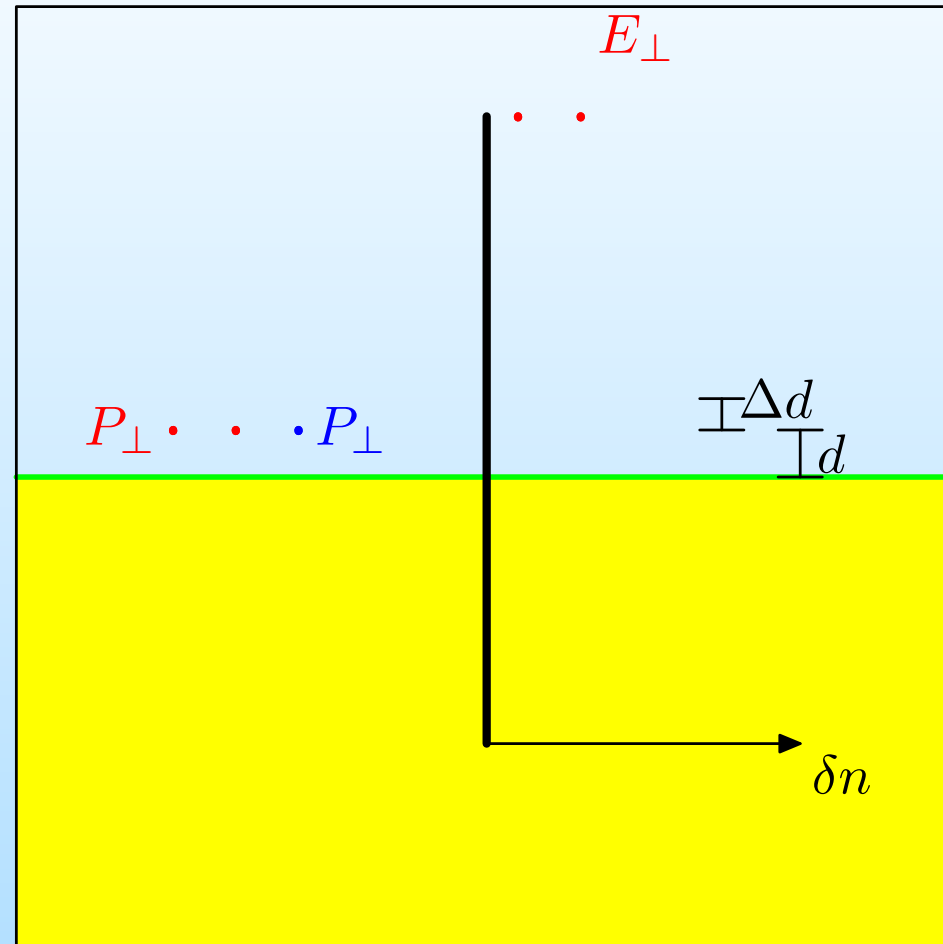
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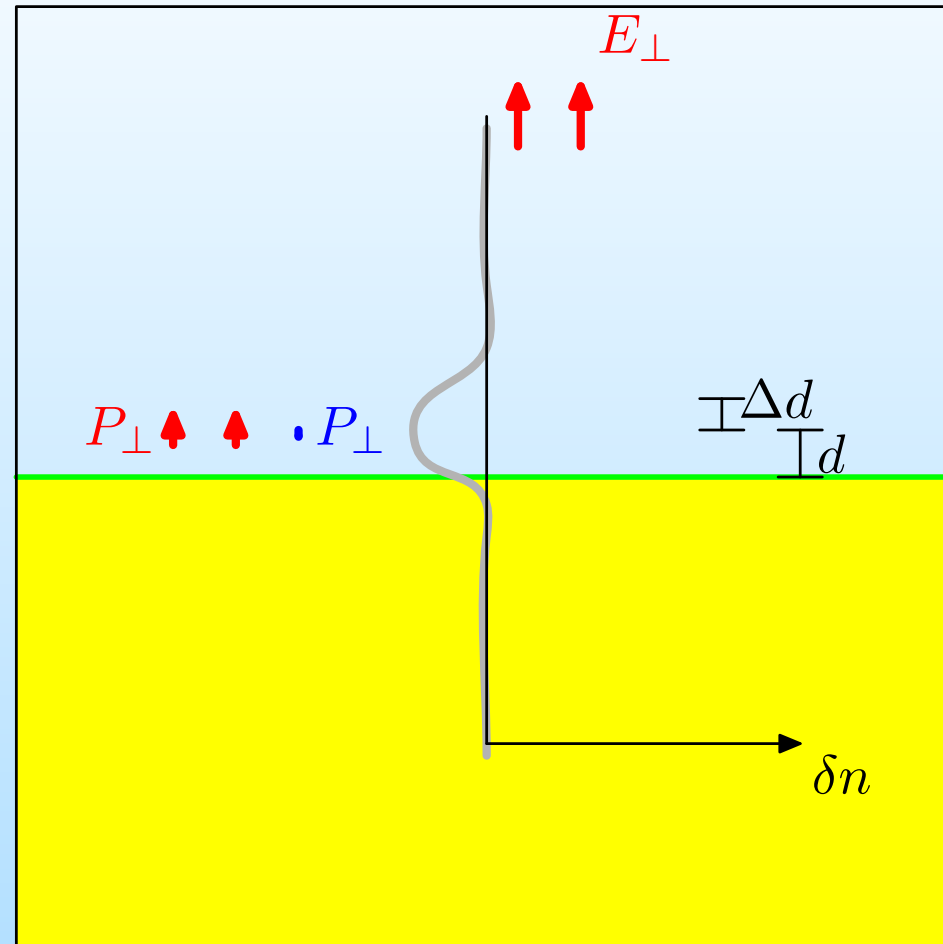
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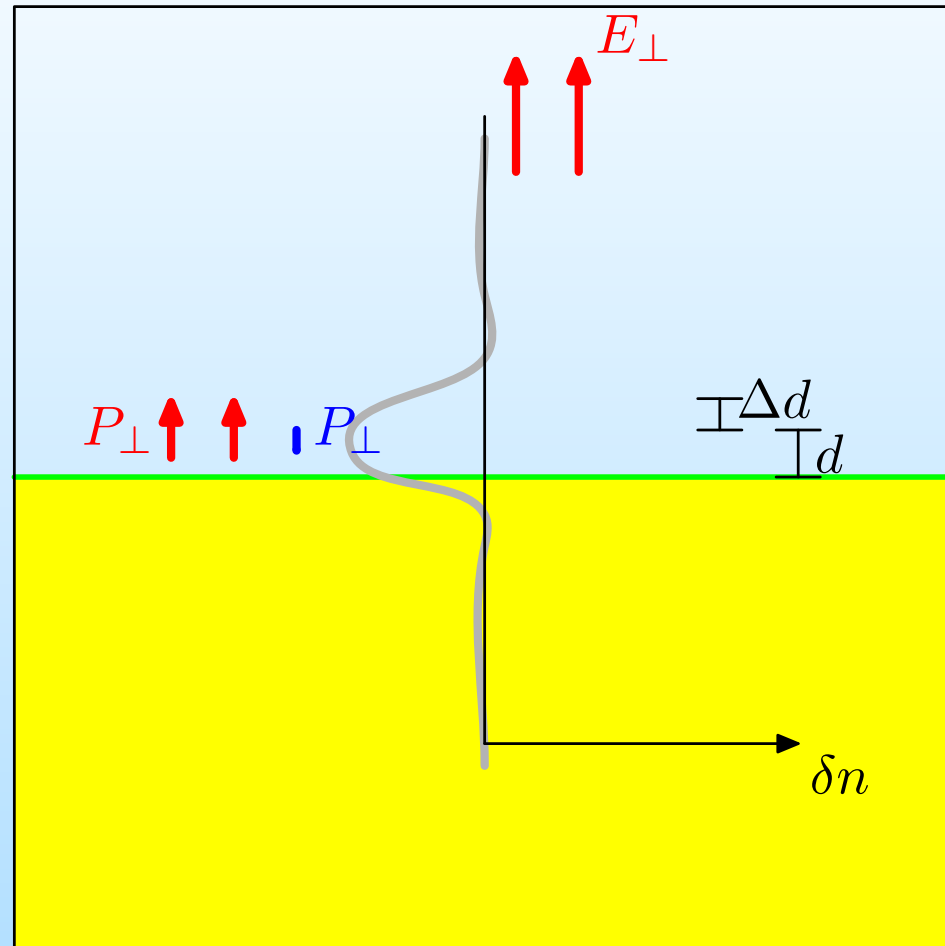
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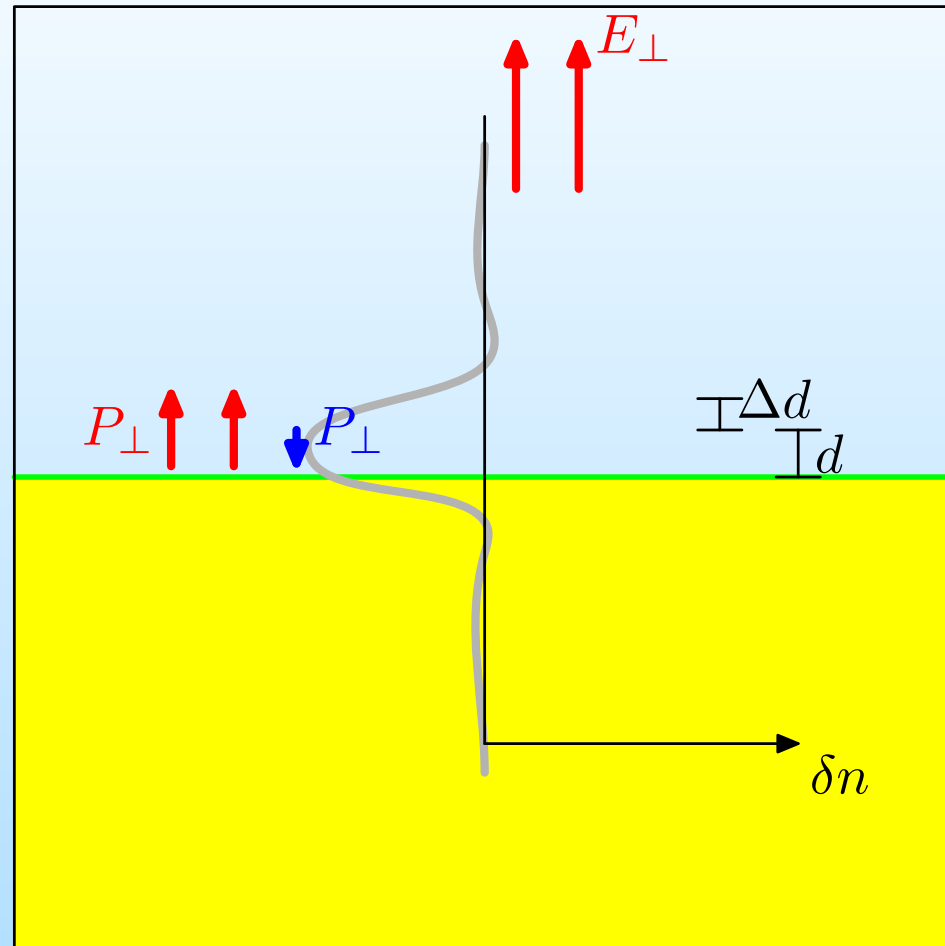
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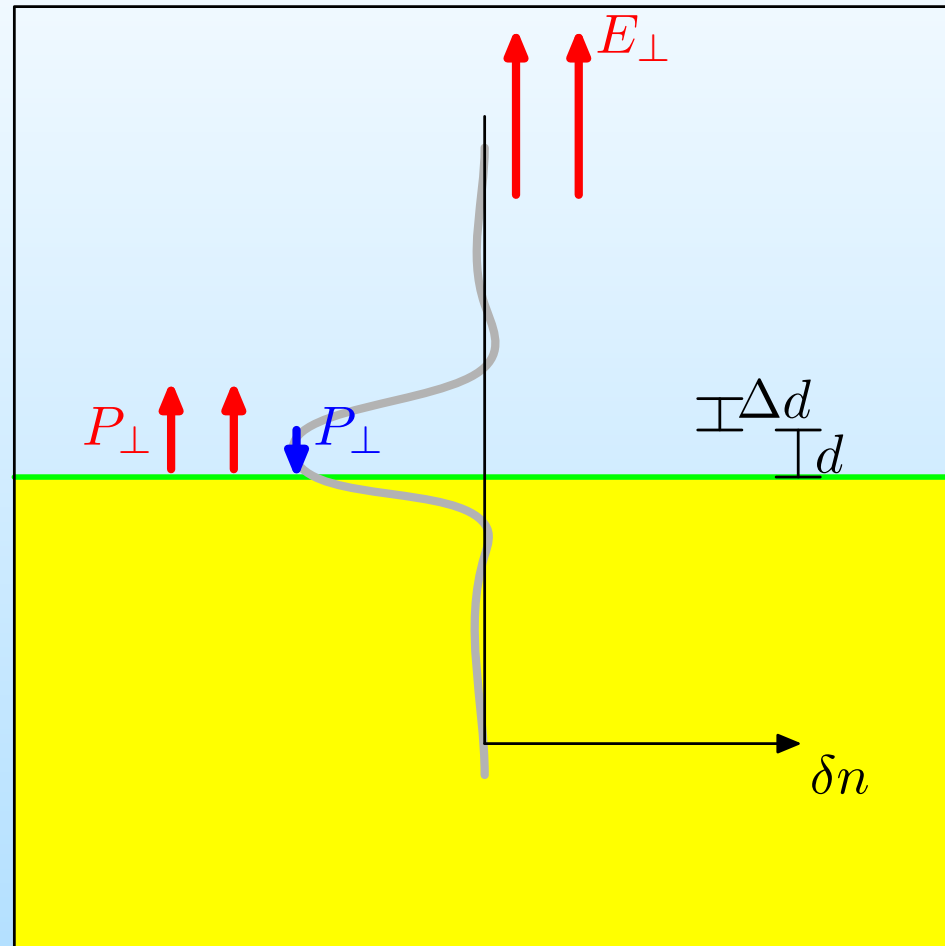
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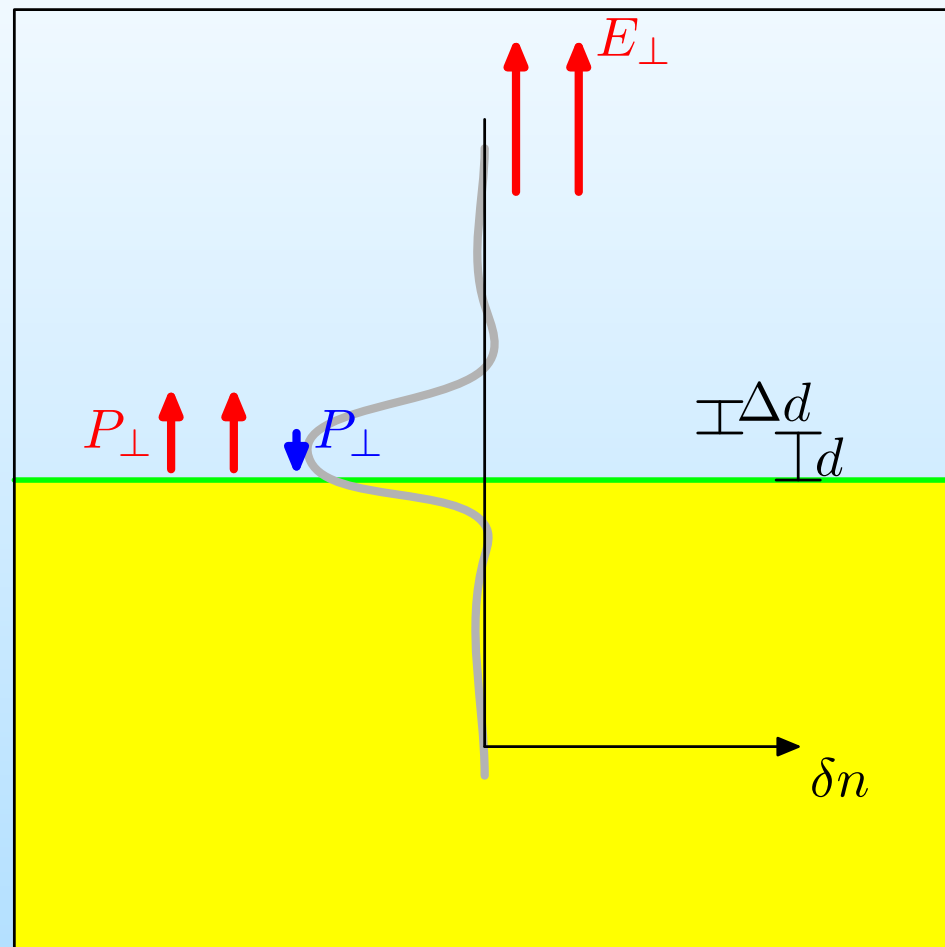


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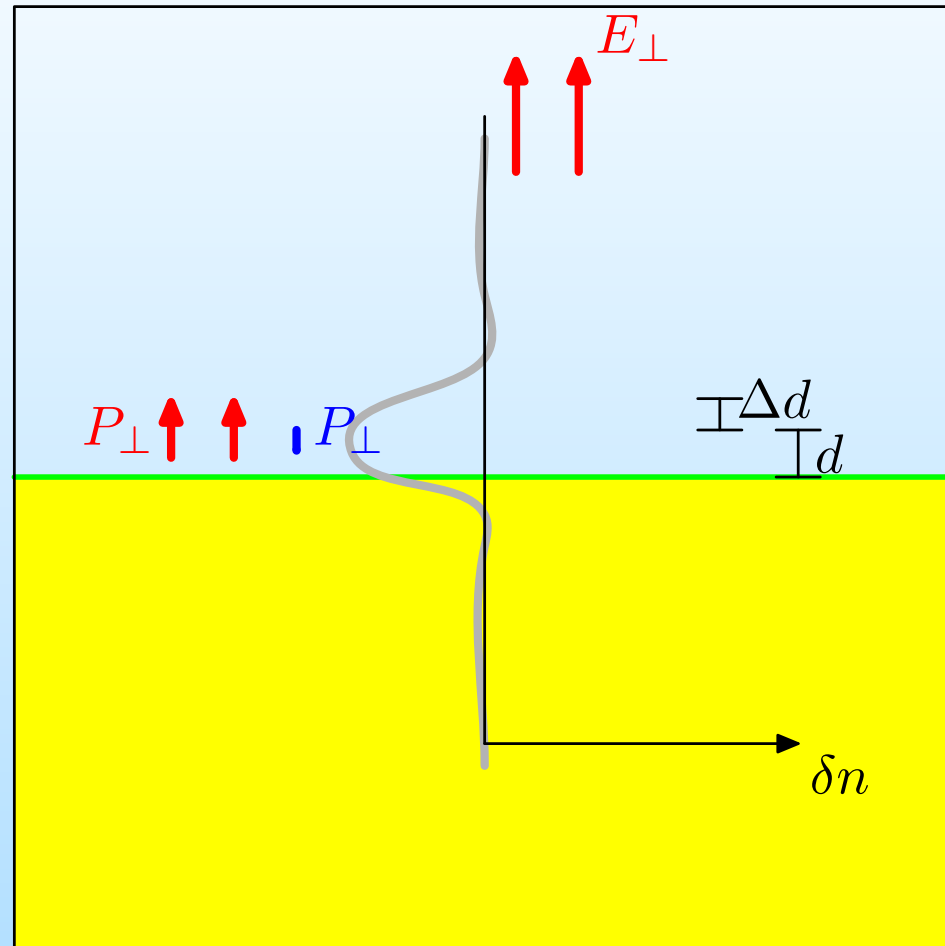
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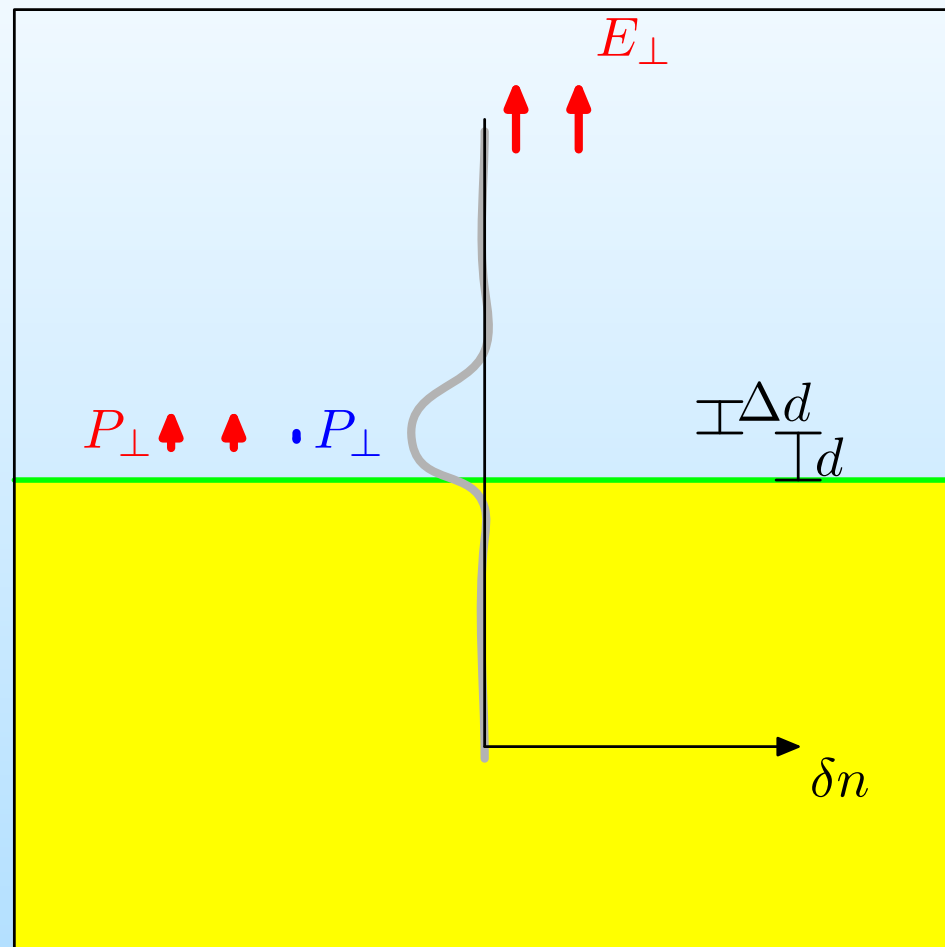
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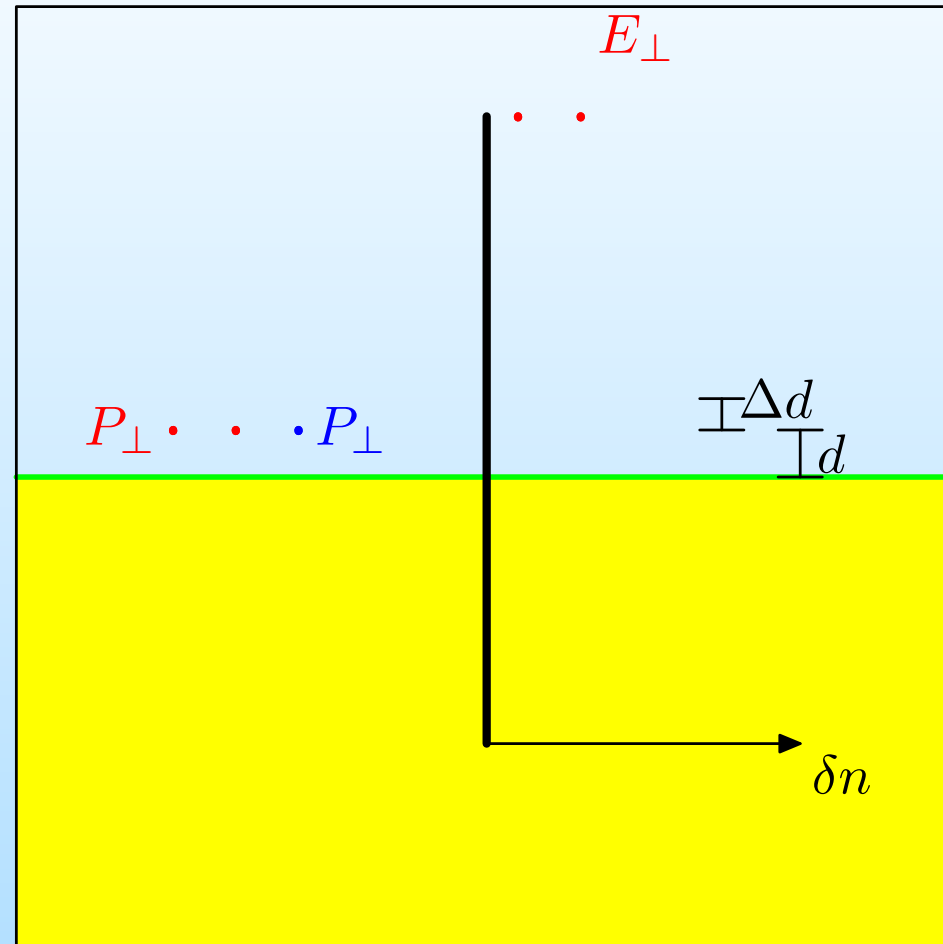
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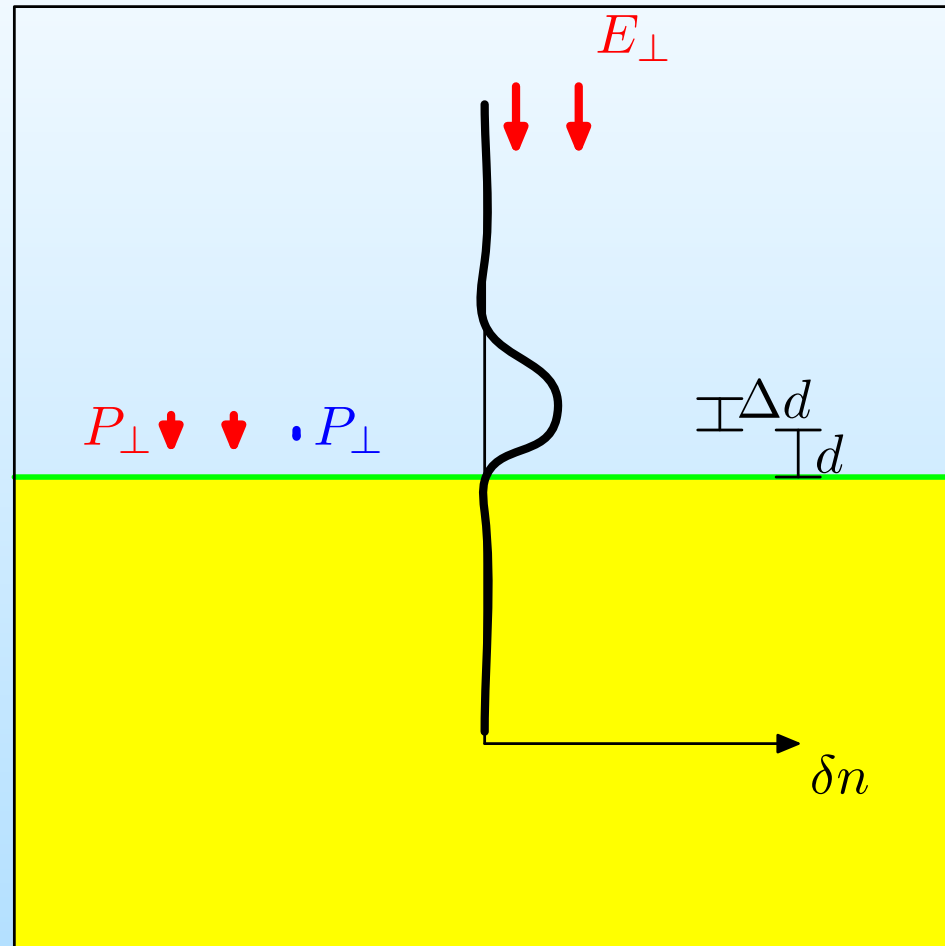
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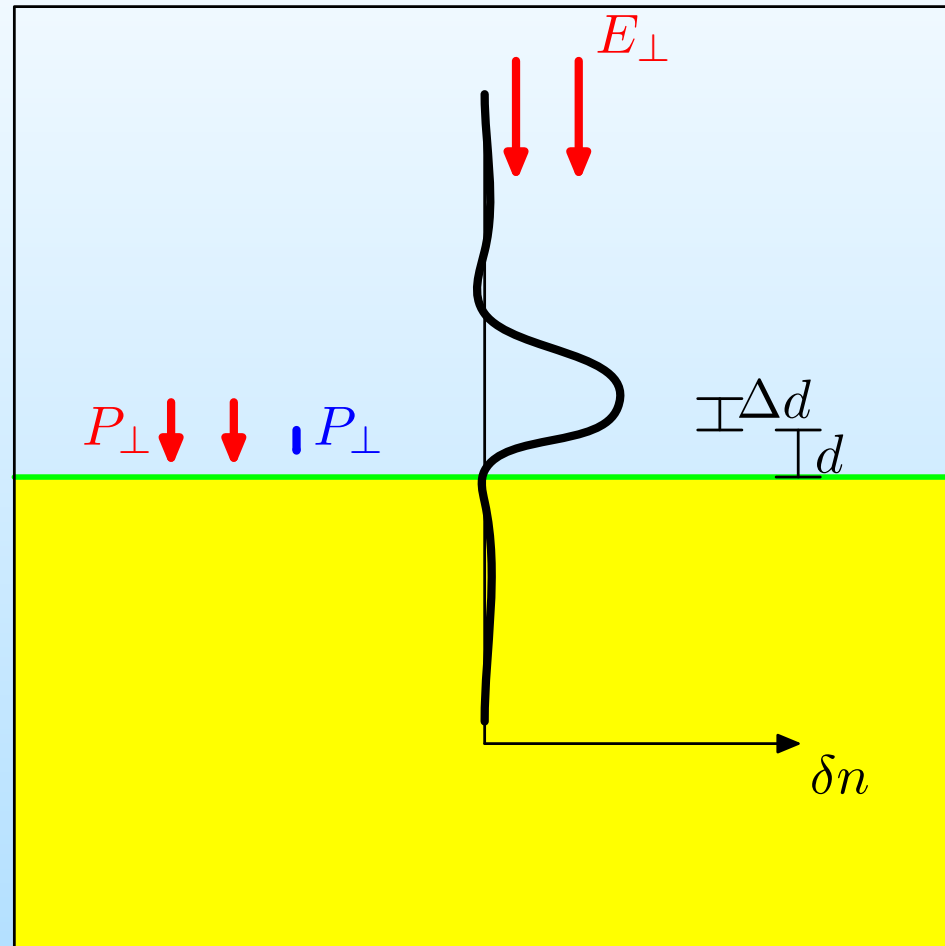
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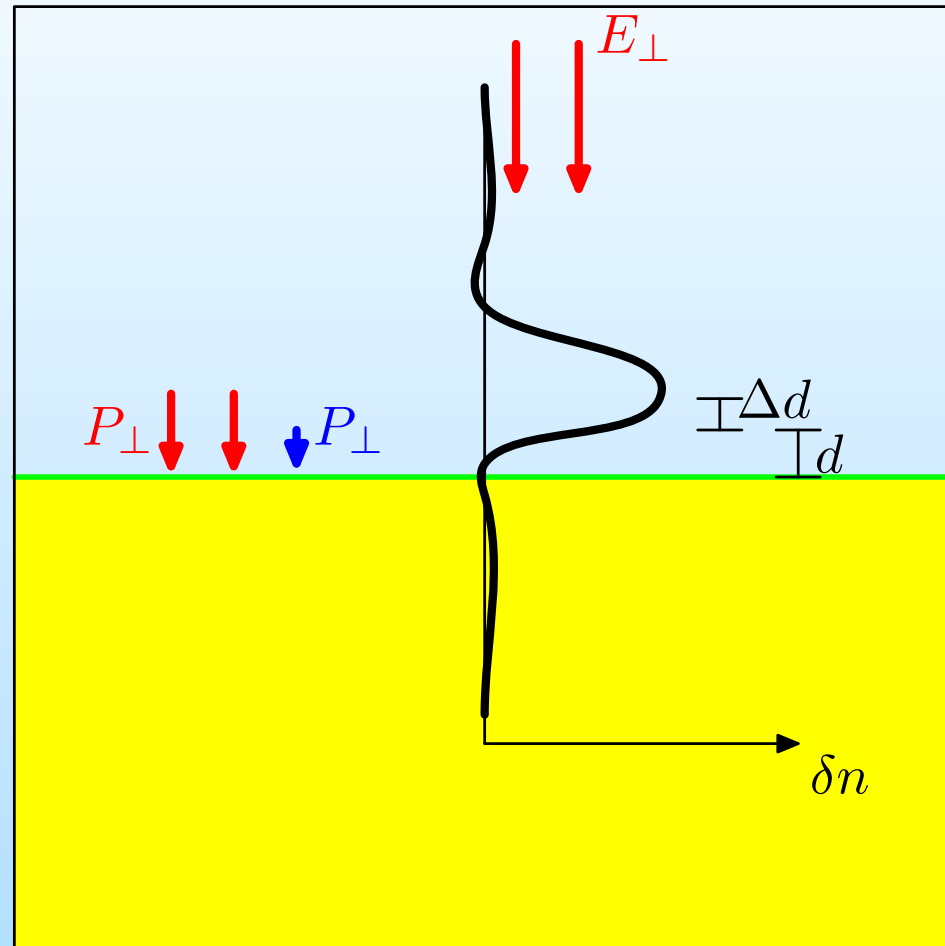
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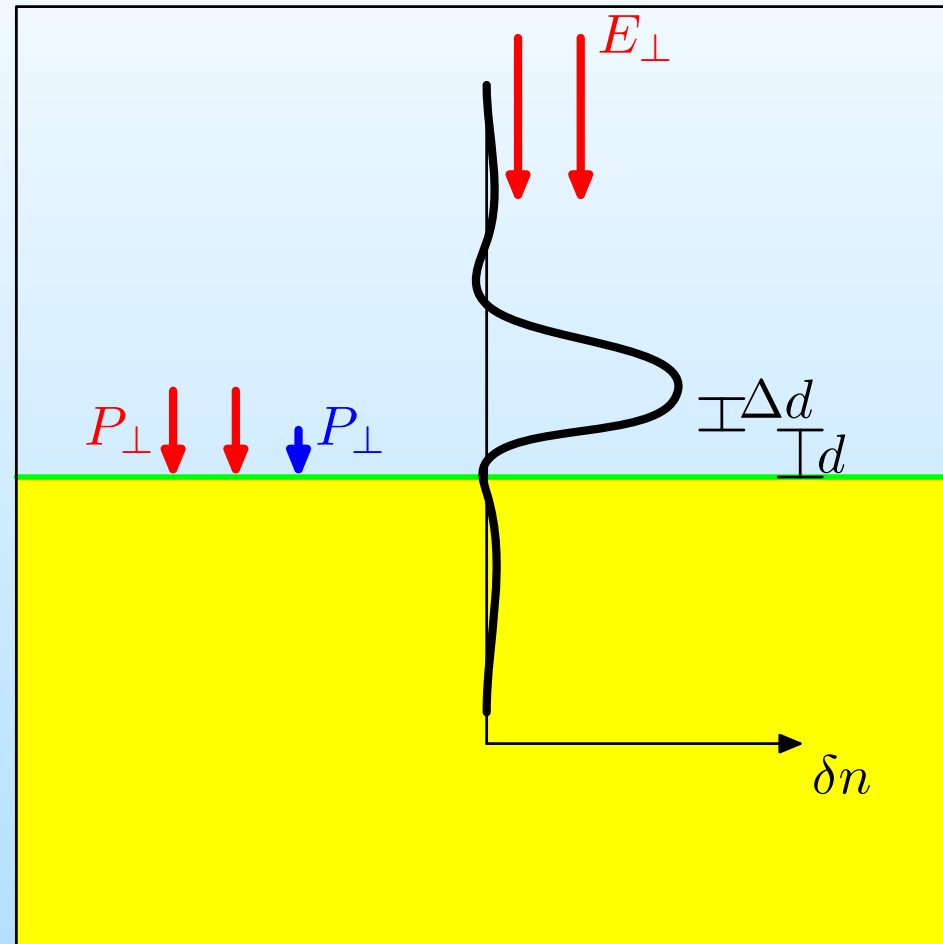
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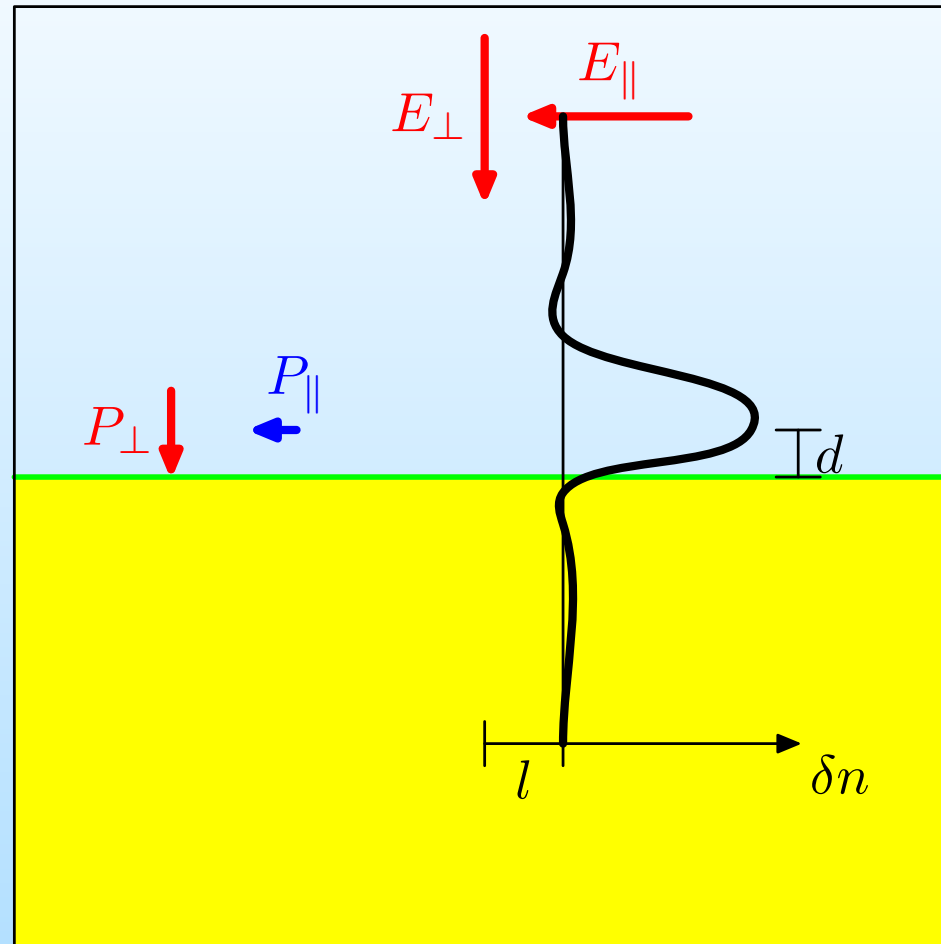


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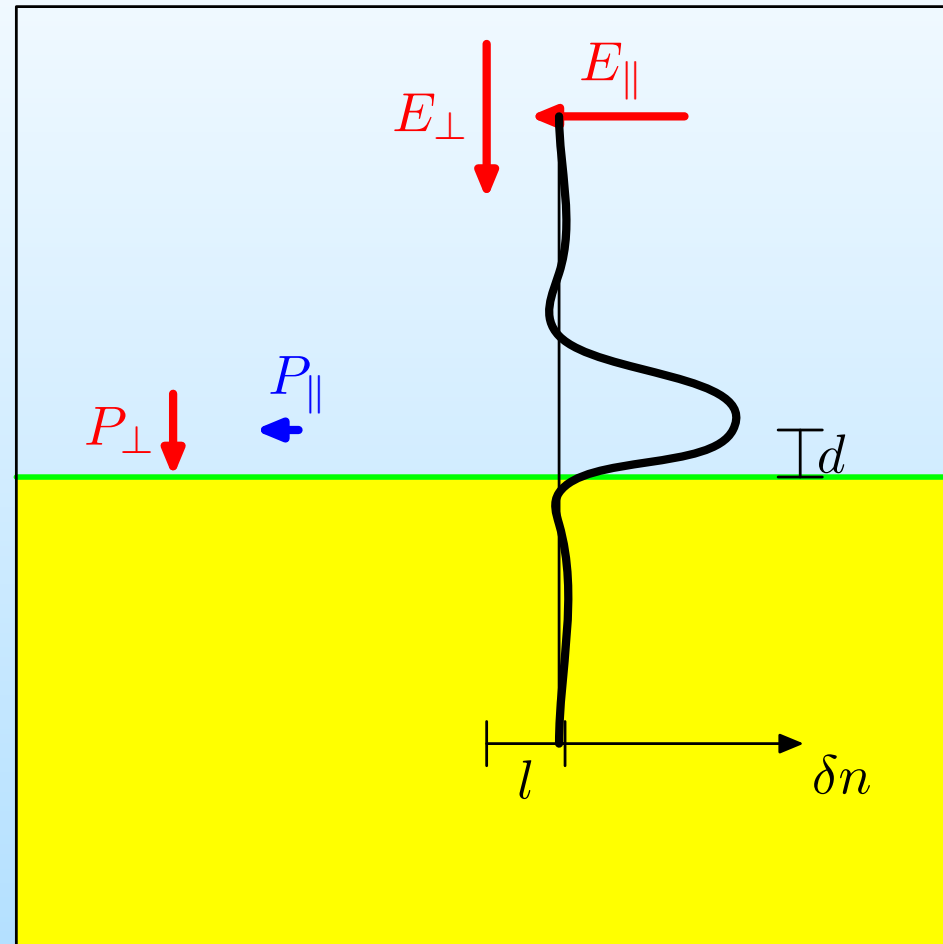
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# Nonlinear Surface Response: $b$



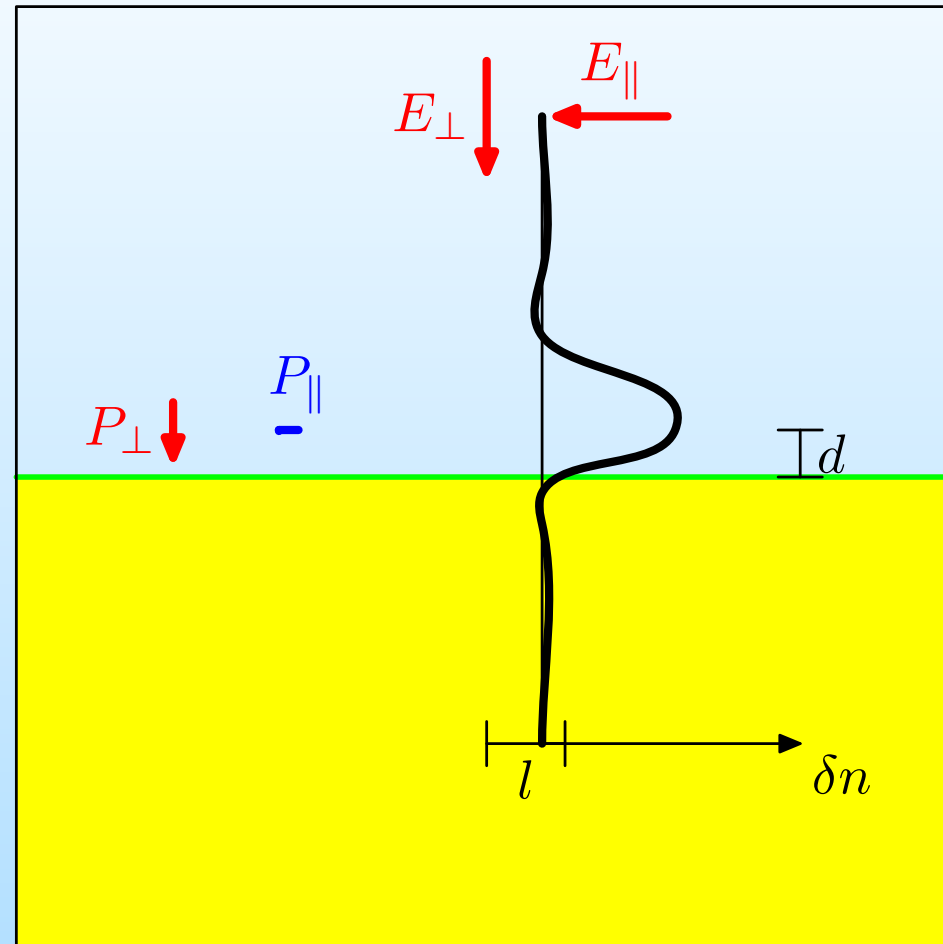
$$\chi_{\perp||} \propto b \propto l$$

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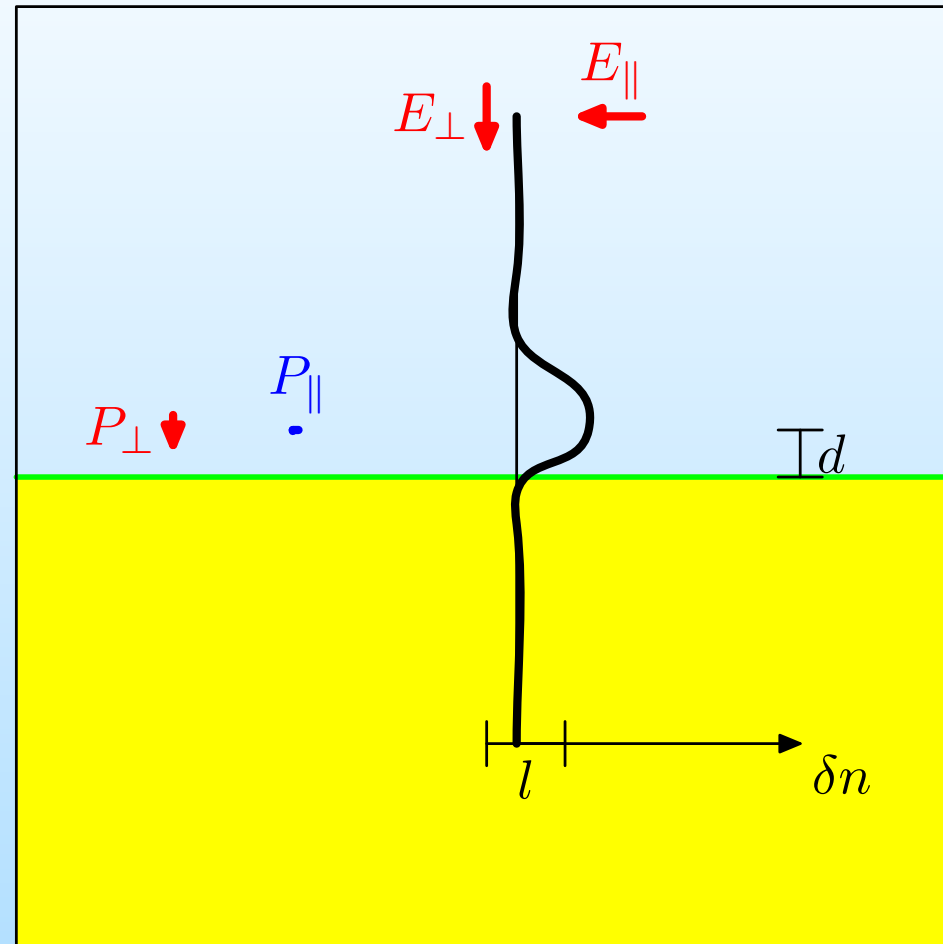
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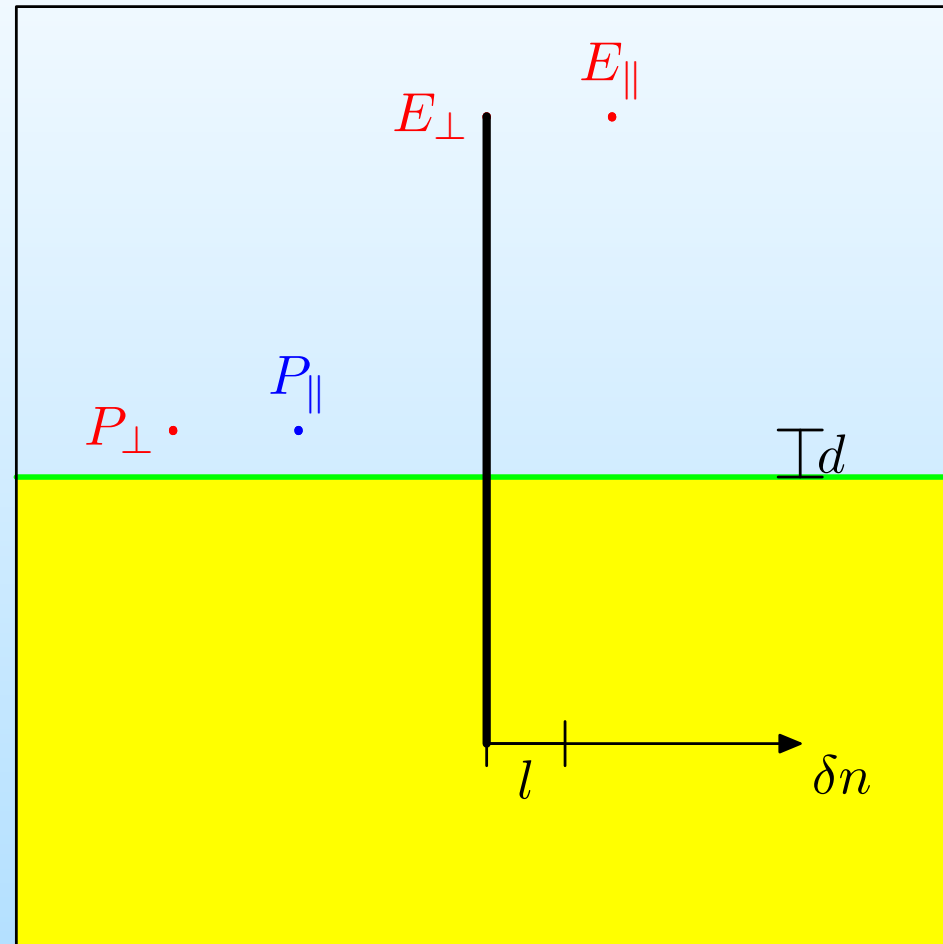
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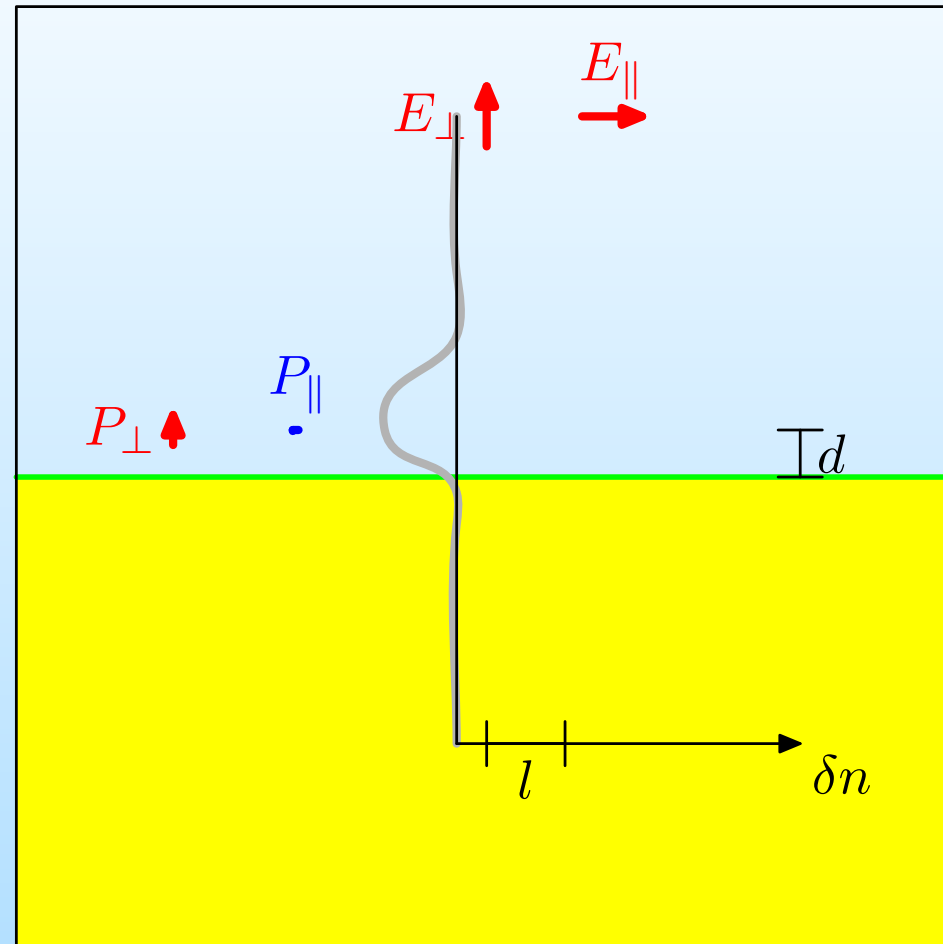
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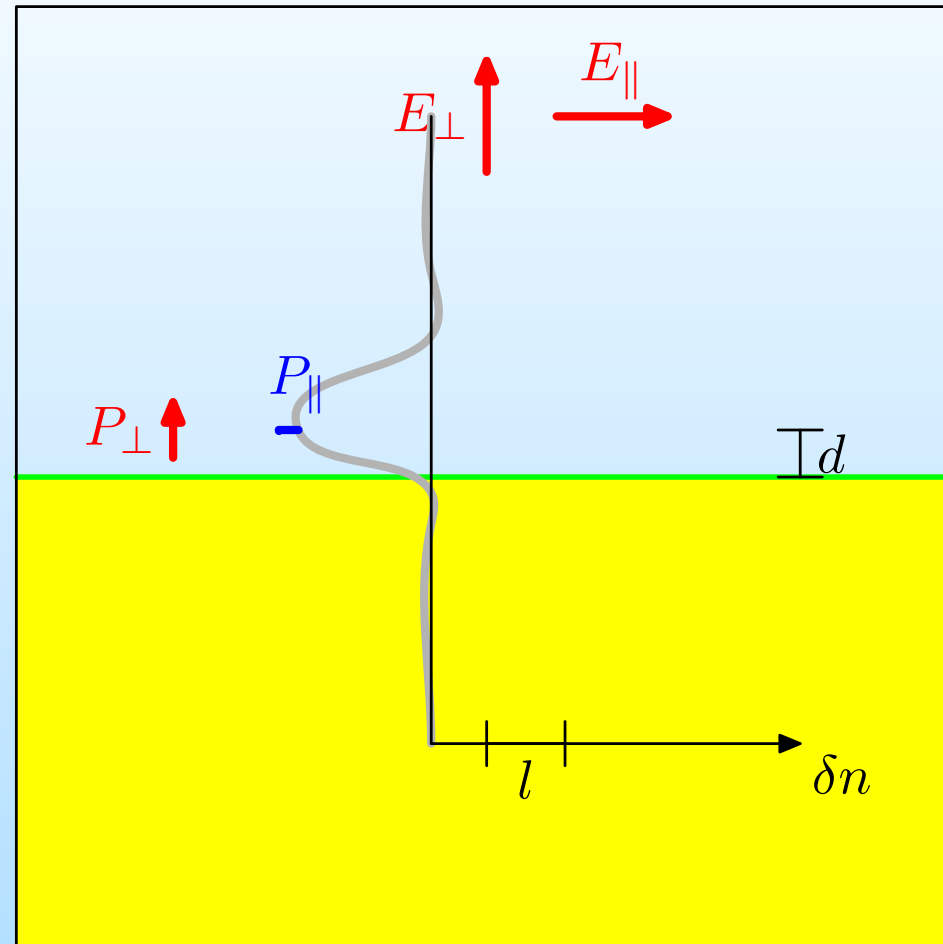
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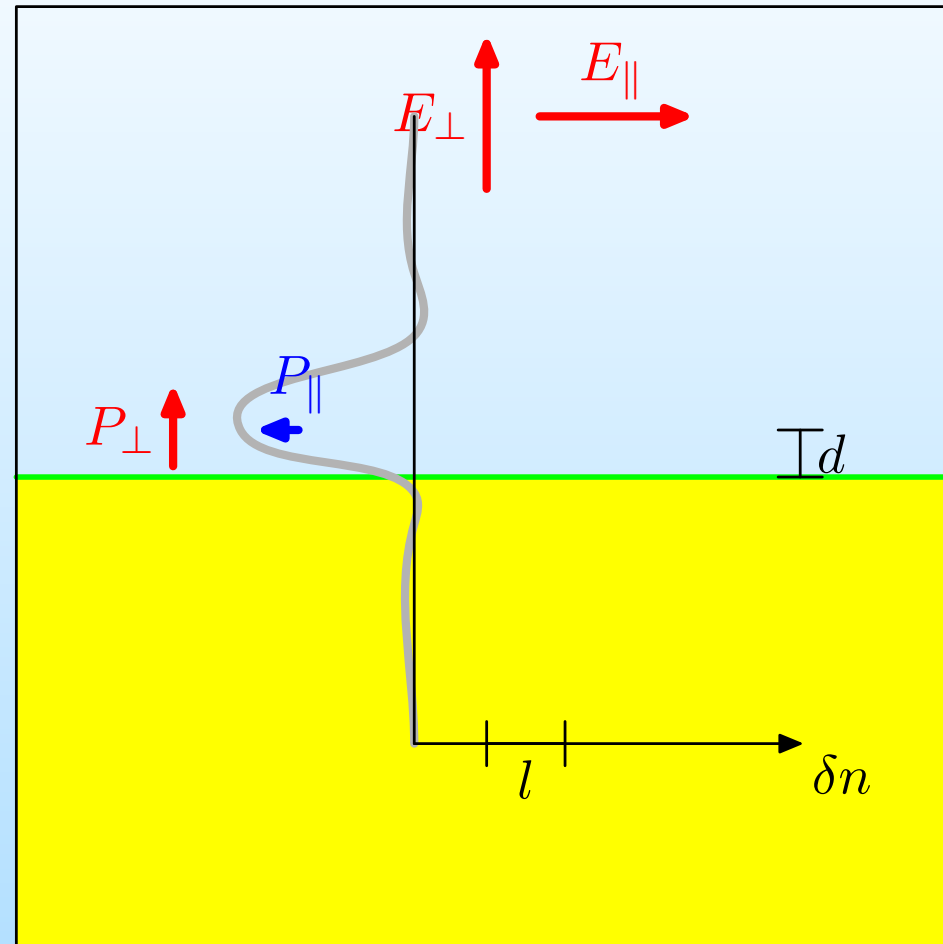
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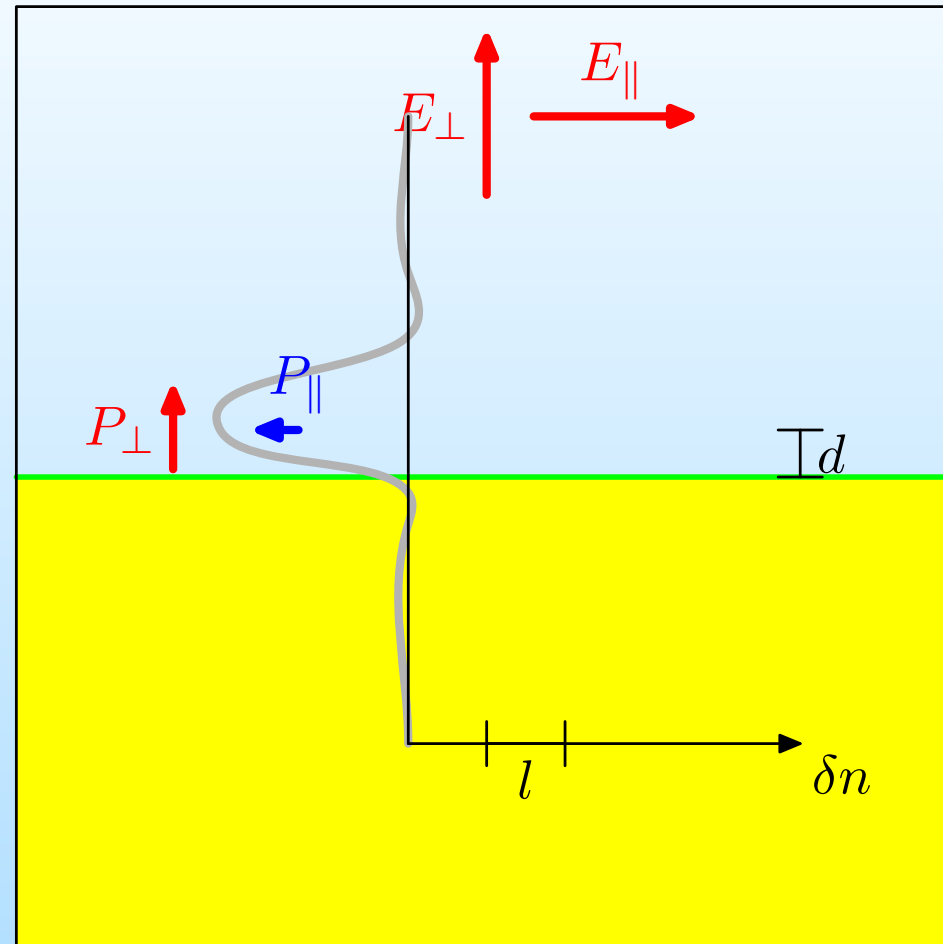


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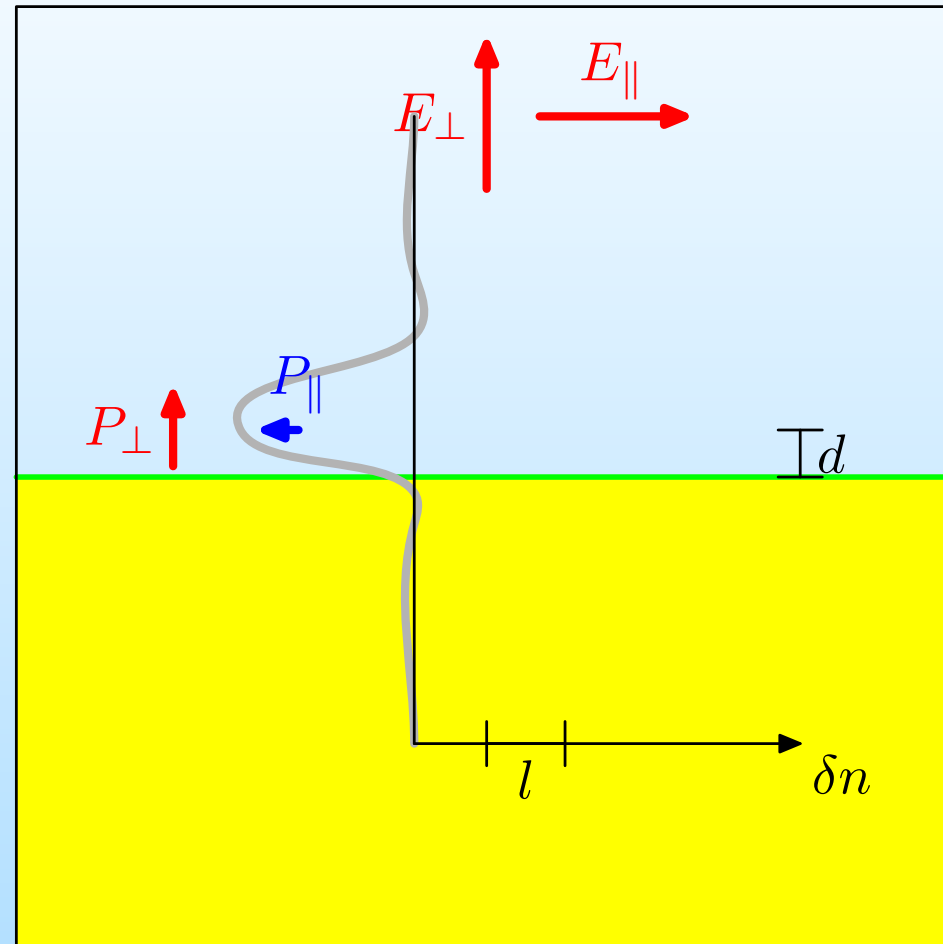
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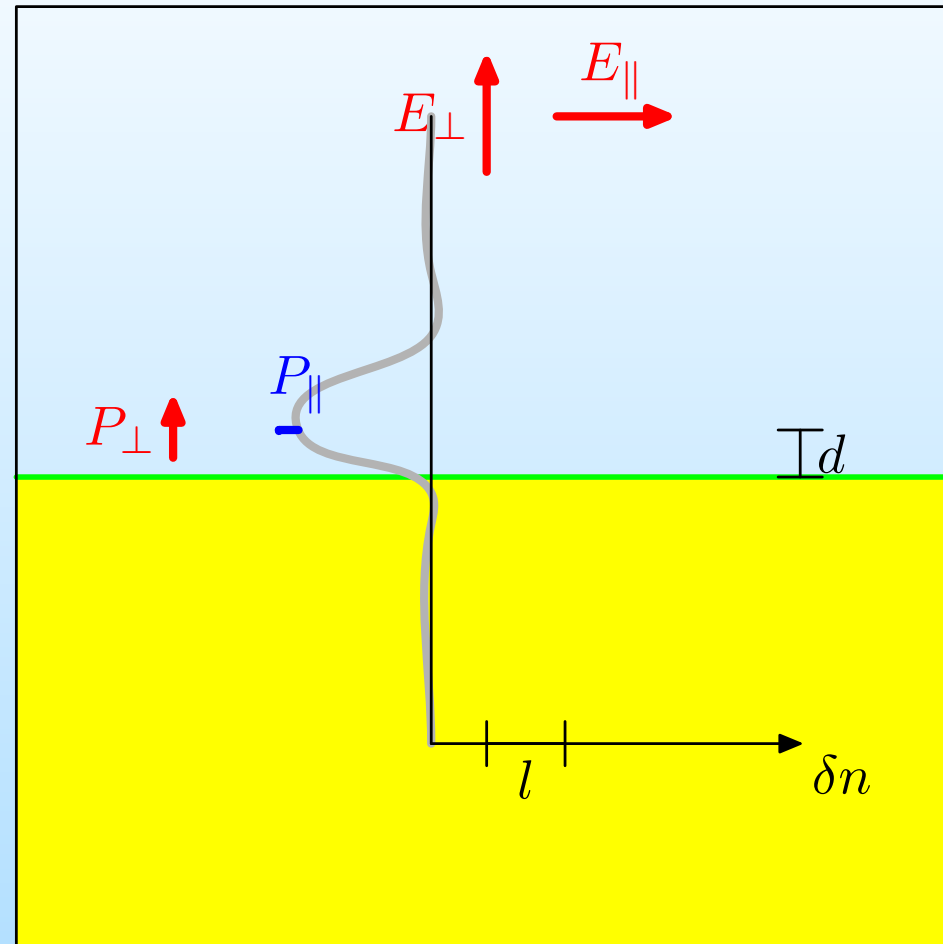
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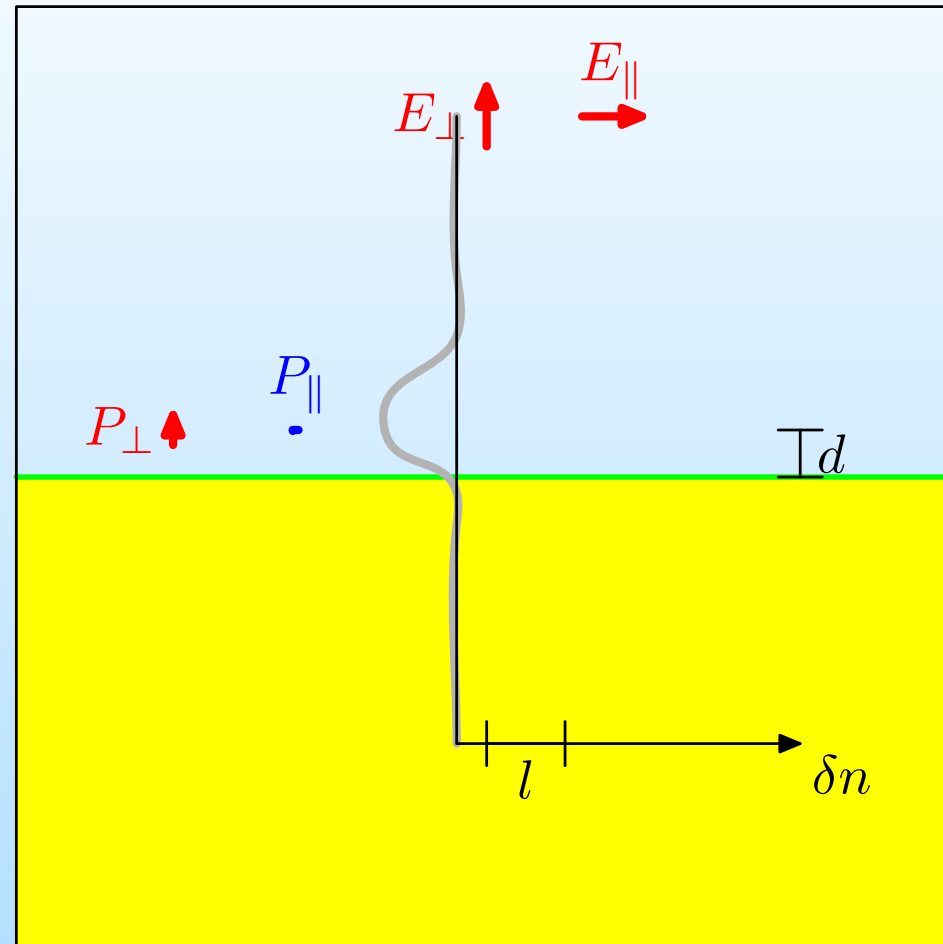
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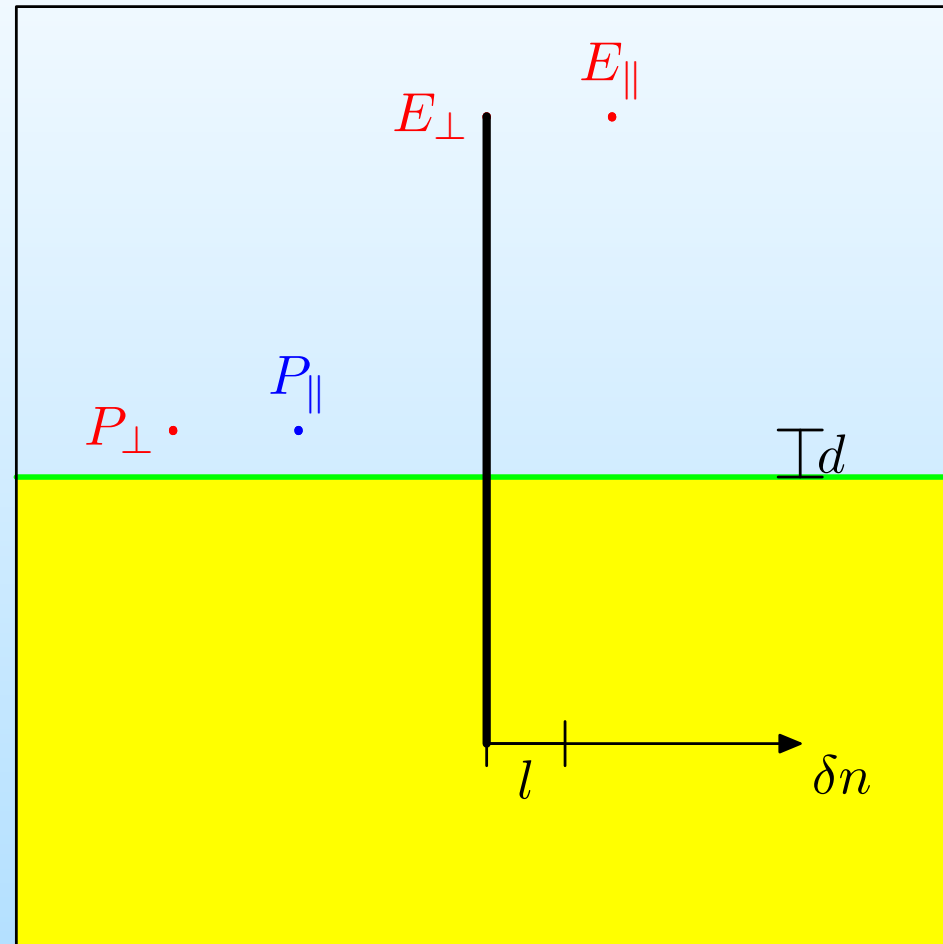
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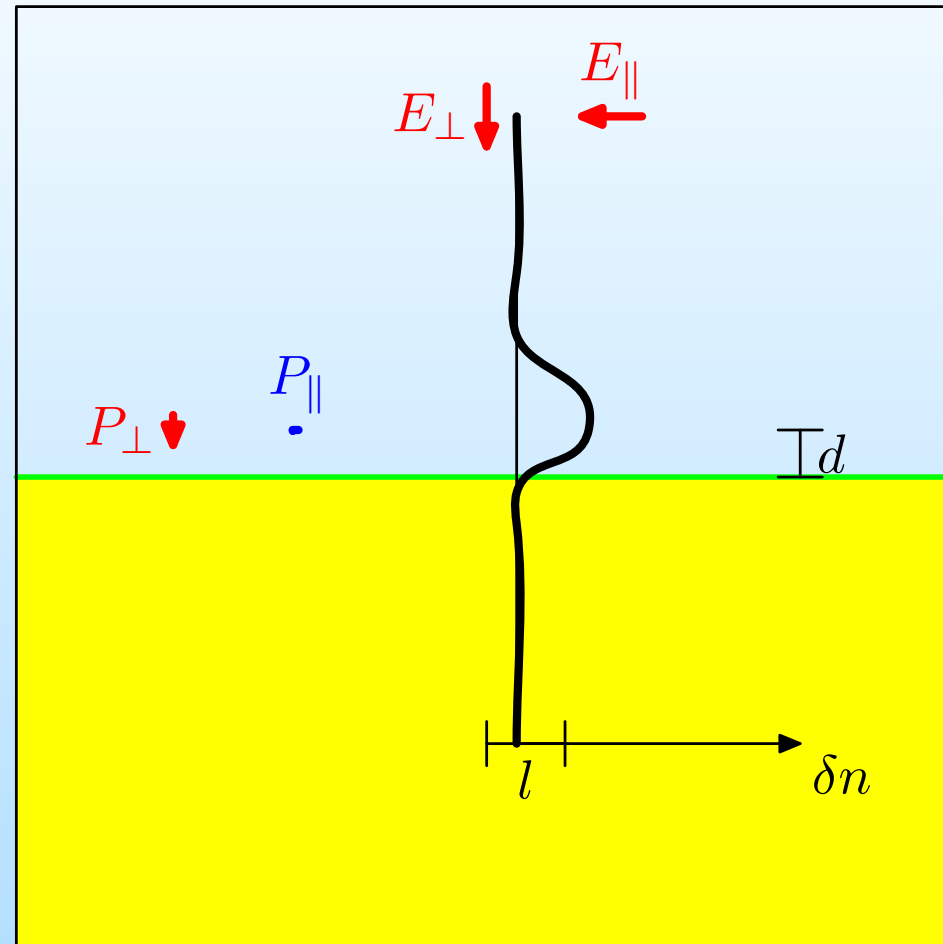
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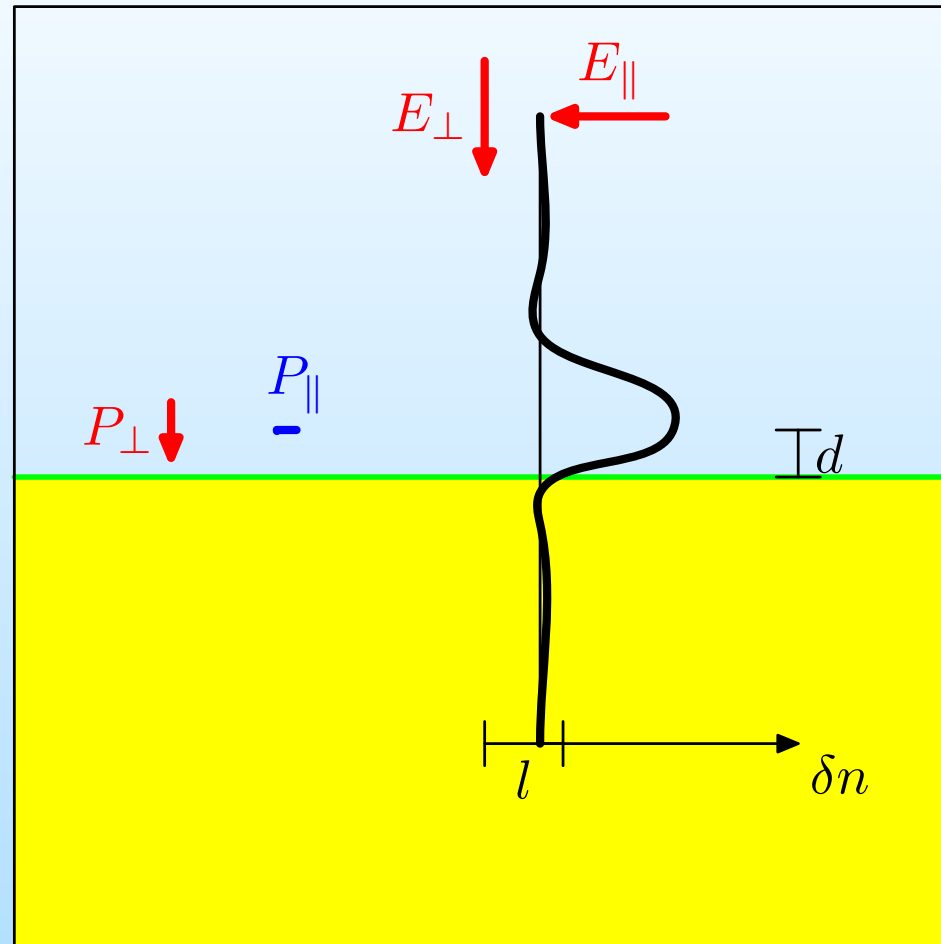
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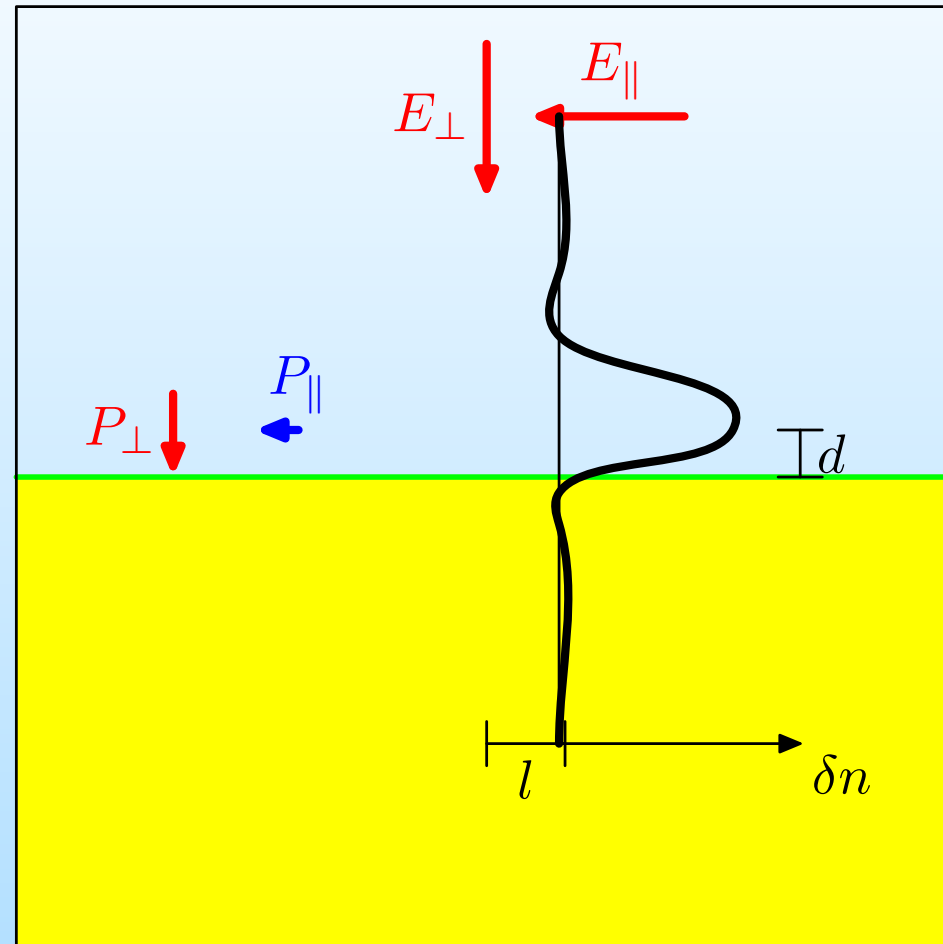
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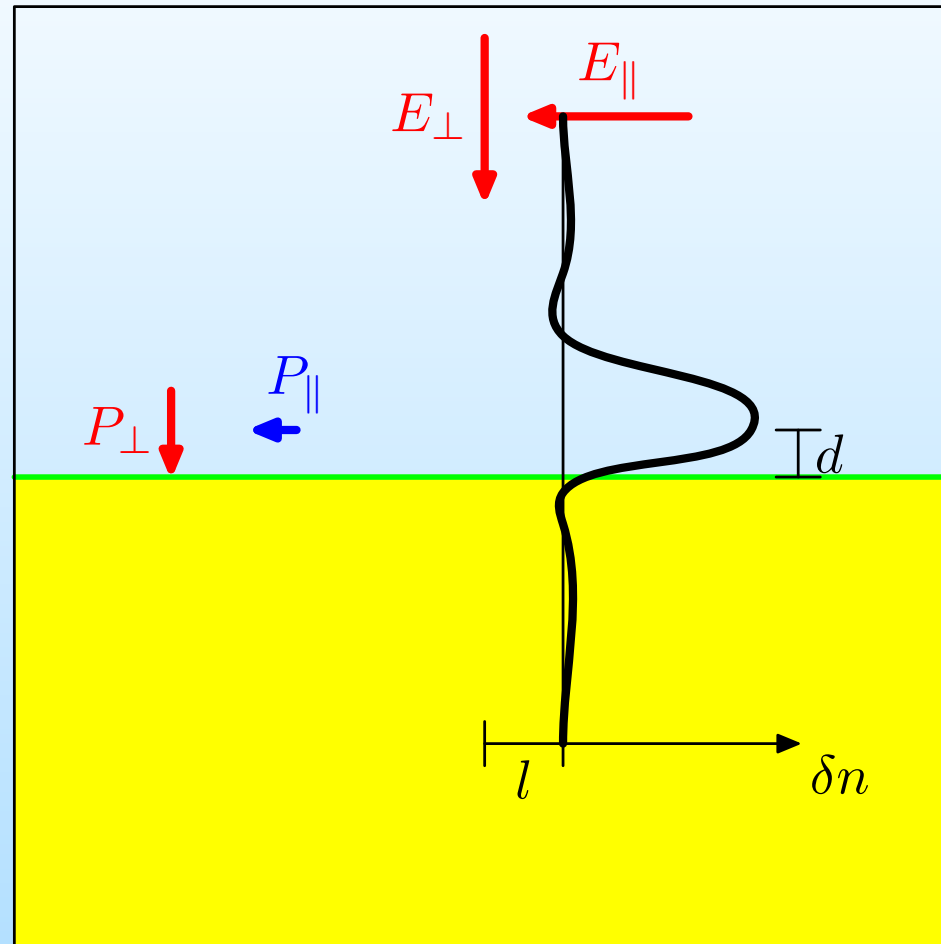


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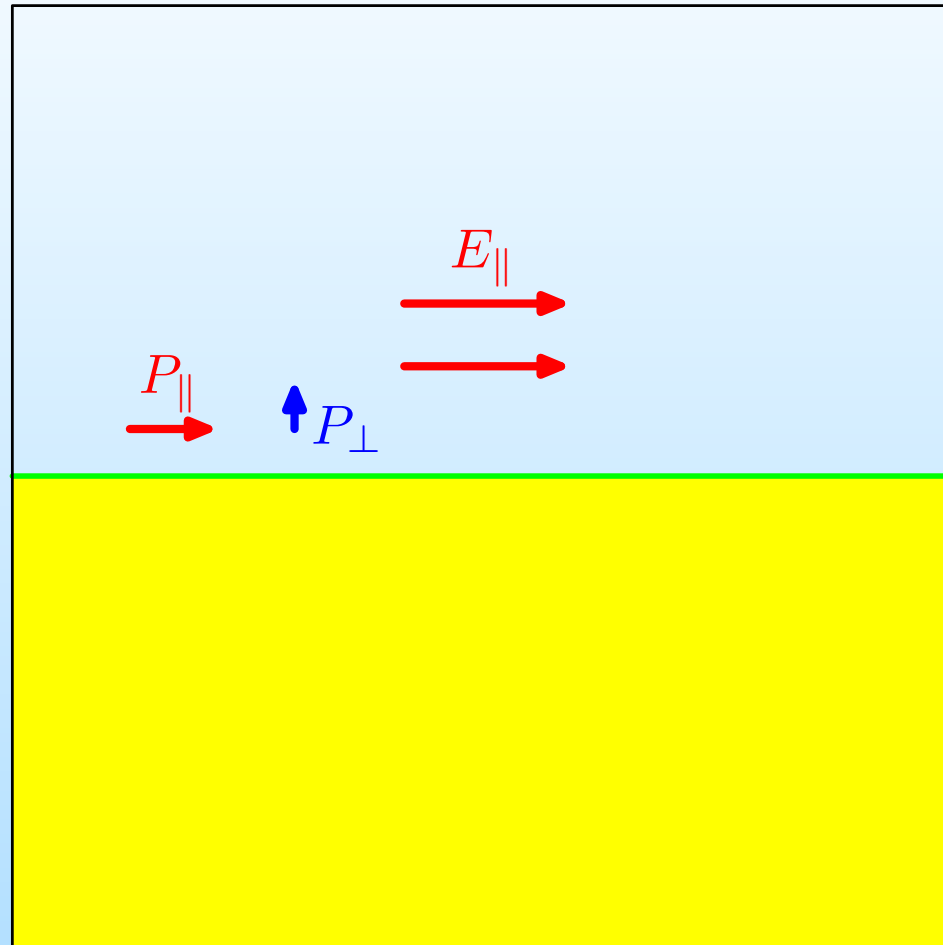
$$\chi_{\perp||} \propto b \propto l$$

# Nonlinear Surface Response: $b$



$$\chi_{\perp||} \propto b \propto l$$

# Nonlinear Surface Response: $f$



$$\chi_{\perp||} \propto f$$

## Harmonic Dipolium: $a$

$$a(\omega) \equiv -64\pi^2 n_B e \left( \frac{\epsilon_B(\omega)}{\epsilon_B(\omega) - 1} \right)^2 \chi_{zzz}^s(\omega).$$

$$a(\omega) = \frac{2 \left( [\epsilon_B(2\omega) - \epsilon_B(\omega)][2\epsilon_B(\omega) - \epsilon_B(2\omega) - \epsilon_B(\omega)\epsilon_B(2\omega)] + [\epsilon_B(\omega)]^2 [1 - \epsilon_B(2\omega)] \log[\epsilon_B(\omega)/\epsilon_B(2\omega)] \right)}{[\epsilon_B(2\omega) - \epsilon_B(\omega)]^2}.$$

$a$  depends only on the bulk dielectric functions  $\epsilon_B(\omega)$  and  $\epsilon_B(2\omega)$ , analytically.

Approximate expression for *arbitrary*  $\epsilon_B$  (?). Accounts for strong field variation at surfaces. Ignores surface states, surface modified polarizability, surface local field corrections ...

## Harmonic dipolium: $b, f$

$$\chi_{\parallel\parallel z}(\omega) = \chi_{\parallel z\parallel}(\omega) = \frac{1}{4e} \frac{n_B \alpha^2(\omega)}{\epsilon_B(\omega)}.$$

Parametrize:

$$b(\omega) \equiv -64\pi^2 n_B e \frac{\epsilon_B(\omega)}{(\epsilon_B(\omega) - 1)^2} \chi_{\parallel\parallel z}^s(\omega) = -1$$

Finally:  $f \propto \chi_{z\parallel\parallel} = 0$ .

## Bulk response: $d$

$$\vec{P}^{(2)} = -\frac{n_B}{e} \alpha(\omega) \alpha(2\omega) \left( 2\vec{E} \cdot \nabla \vec{E} - \frac{1}{2} \nabla E^2 \right) - \frac{n_B}{2e} \alpha^2(\omega) \nabla \cdot (\vec{E} \vec{E}).$$

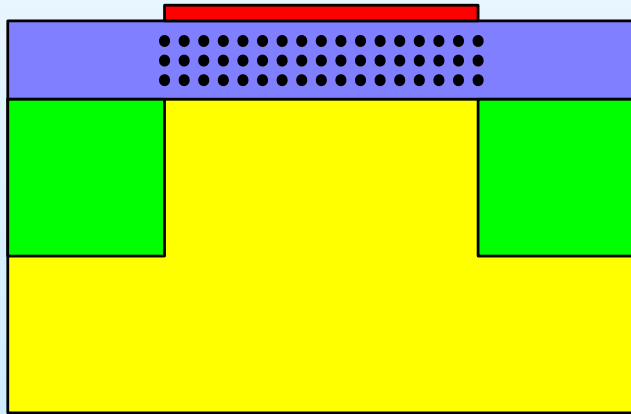
Plane wave:  $\nabla$  *perpendicular* to  $\vec{E}$ . Then,

$$P^{(2)} \equiv \frac{1}{32\pi^2 n e} (\epsilon_B(\omega) - 1)(\epsilon_B(2\omega) - 1) d(\omega) \nabla E^2$$

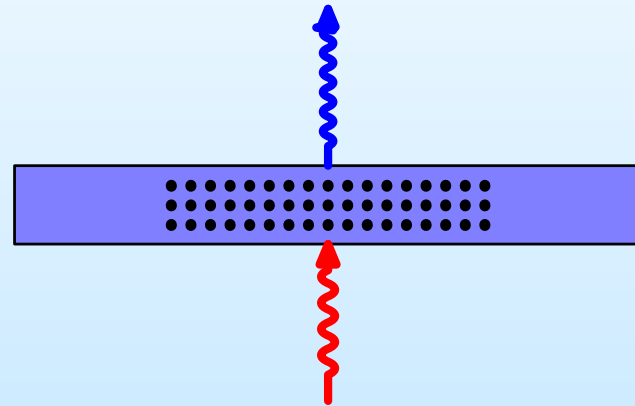
where:  $d = 1$ .

# Buried interfaces: nanoparticles

Flash memories



Observe interfaces with SHG



# Experiment

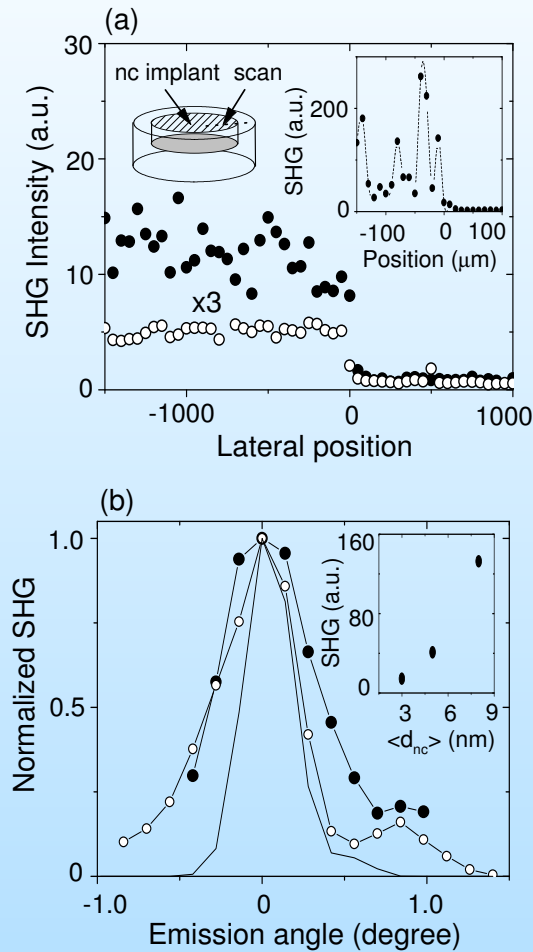


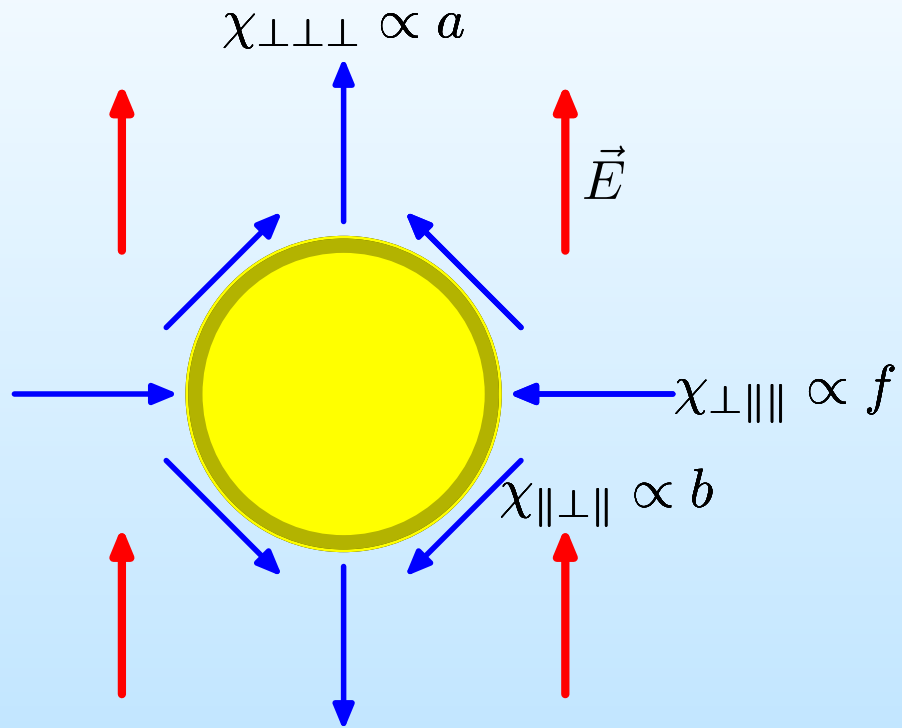
FIG. 3

Y. Jiang, P. T. Wilson, M. C. Downer, C. W. White, and S. P. Withrow, Appl. Phys. Lett. **78**, 766 (2001).

- Signal comes from nanospheres.
- Interface sensitive (annealed in Ar vs. Ar/H<sub>2</sub>).
- Forward SHG.
- Edge vs. *bulk*.

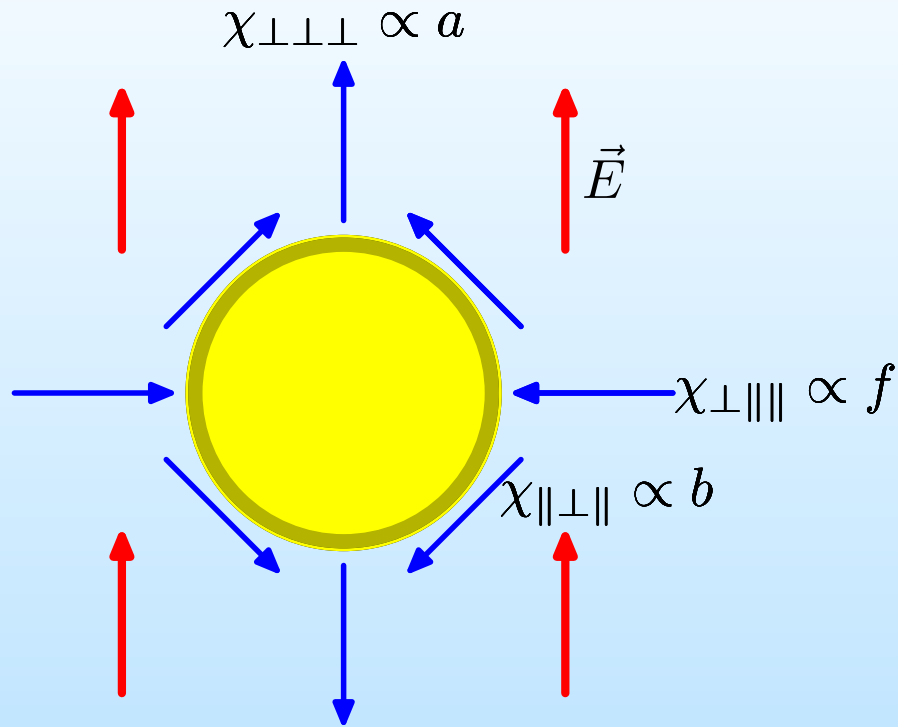


# Single sphere SHG



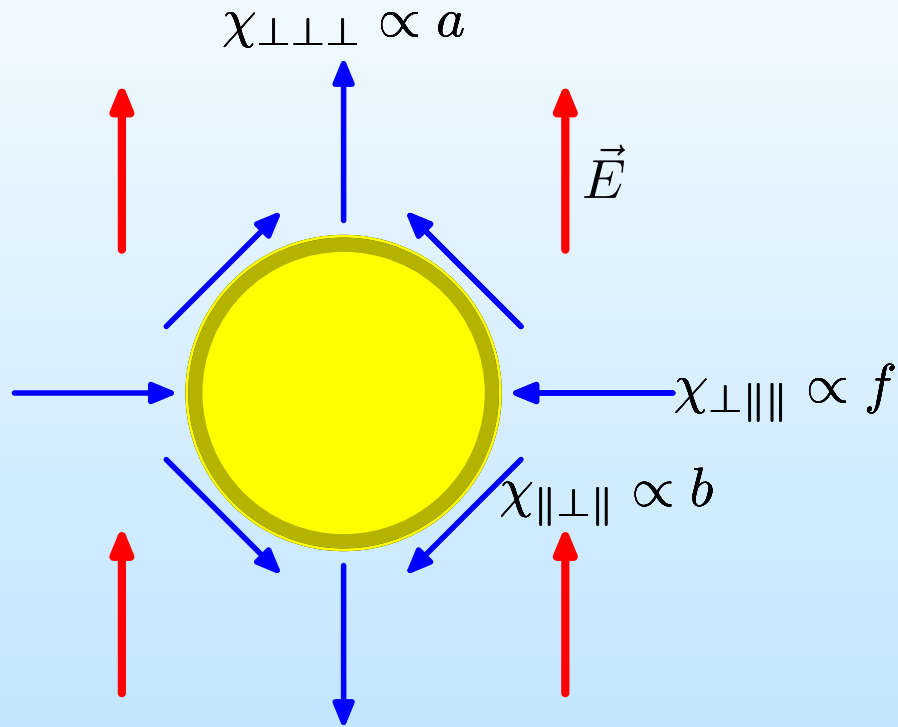
- Centrosymmetry is locally lost...

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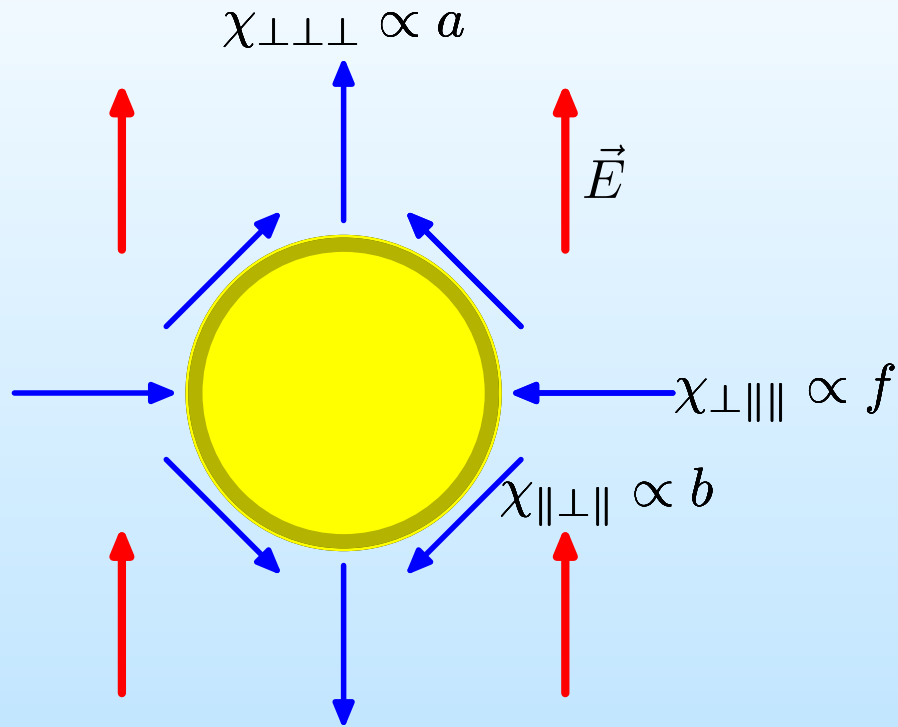
- Centrosymmetry is locally lost...
- but globally recovered.

# Single sphere SHG



- Centrosymmetry is locally lost...
- but globally recovered.
- Total dipole is null...

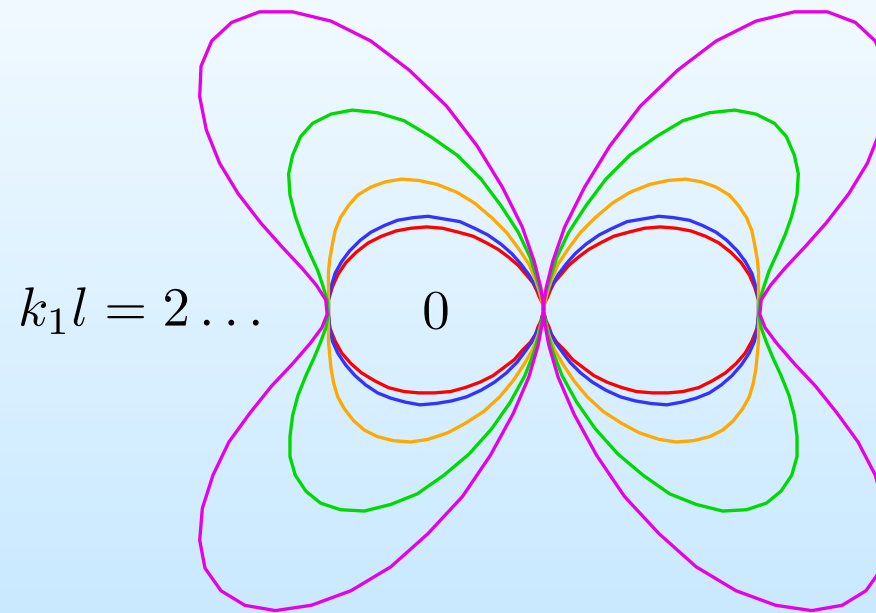
# Single sphere SHG



- Centrosymmetry is locally lost...
- but globally recovered.
- Total dipole is null...
- unless field is inhomogeneous.

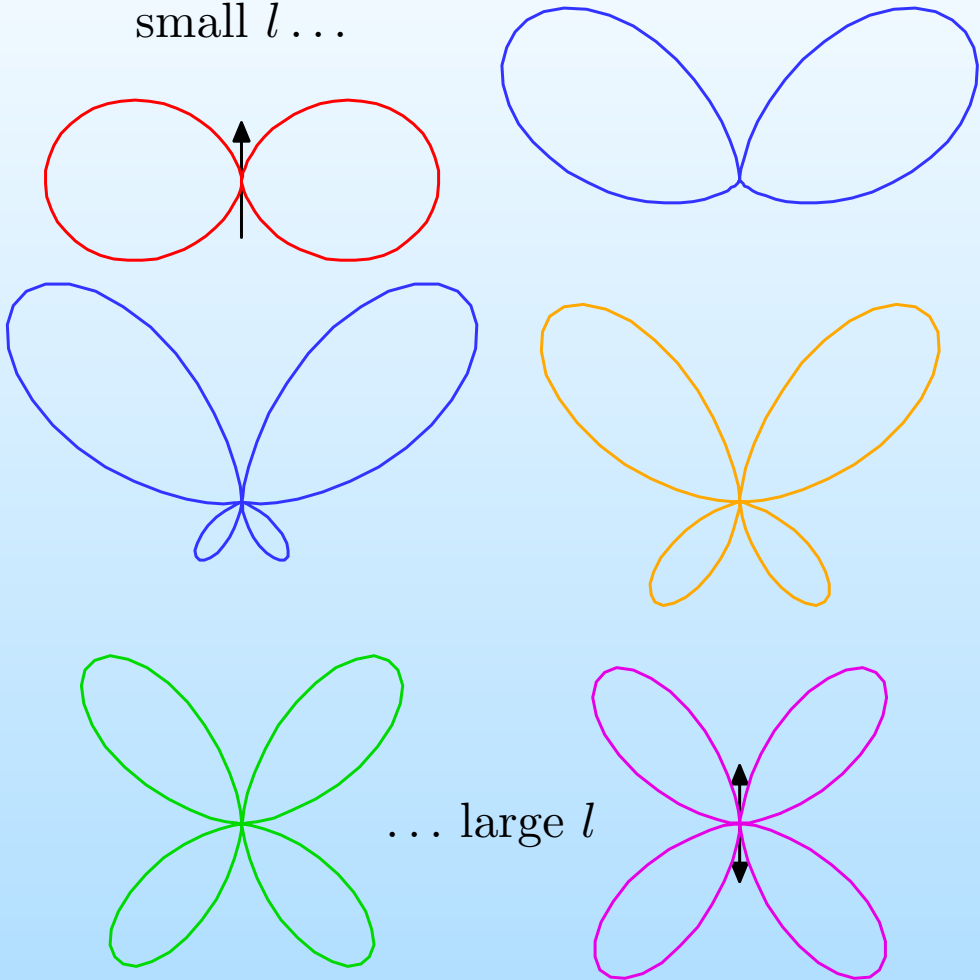
# Radiation patterns

---



$\vec{p} \cdot \vec{Q}_{ij}$  radiation in phase...

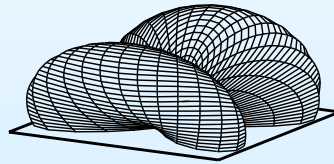
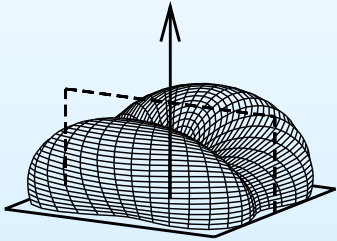
# Radiation patterns



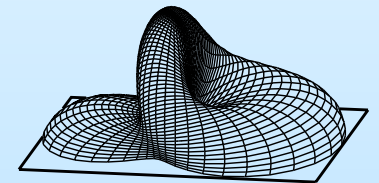
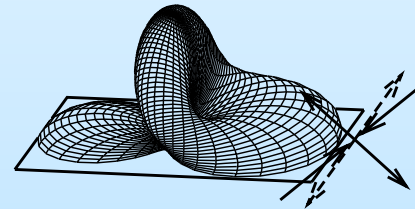
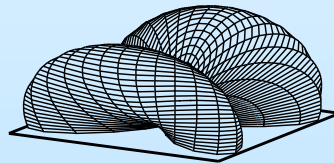
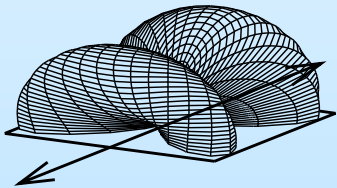
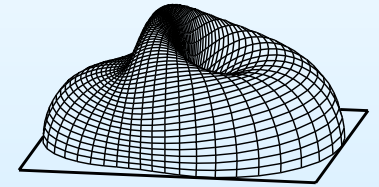
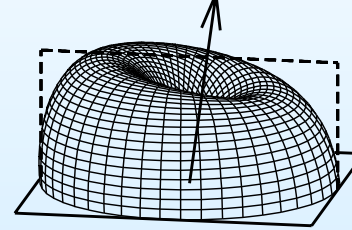
$\vec{p} \perp Q_{ij}$  radiation in counter-phase...

# SHG efficiency for nanosphere over substrate

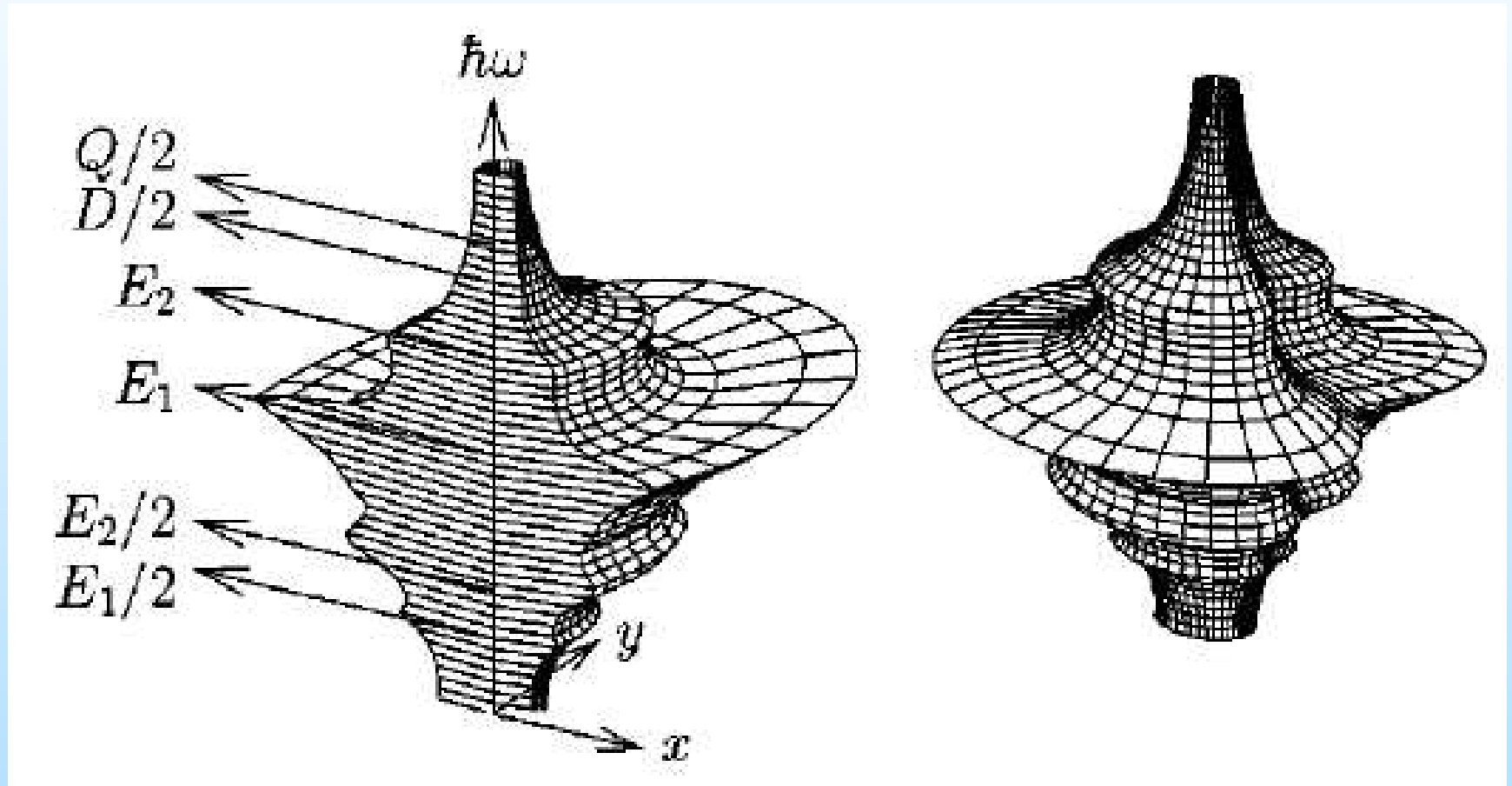
$s \rightarrow p$  polarization



$p \rightarrow p$  polarization



Spectral features:  $p$  in,  $\theta = \pi/4$





# Comparison

No forward radiation and wide distribution  
vs.  
Narrow distribution along forward direction!

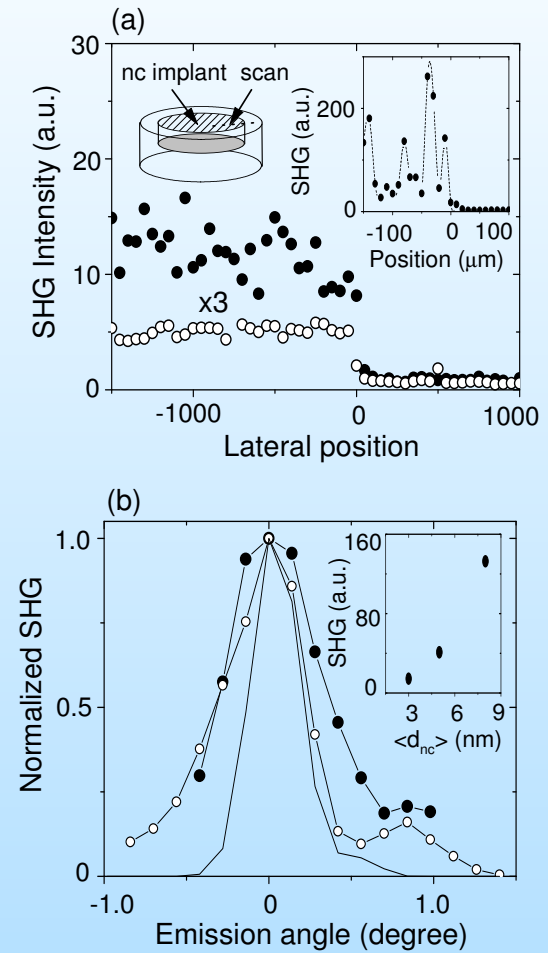
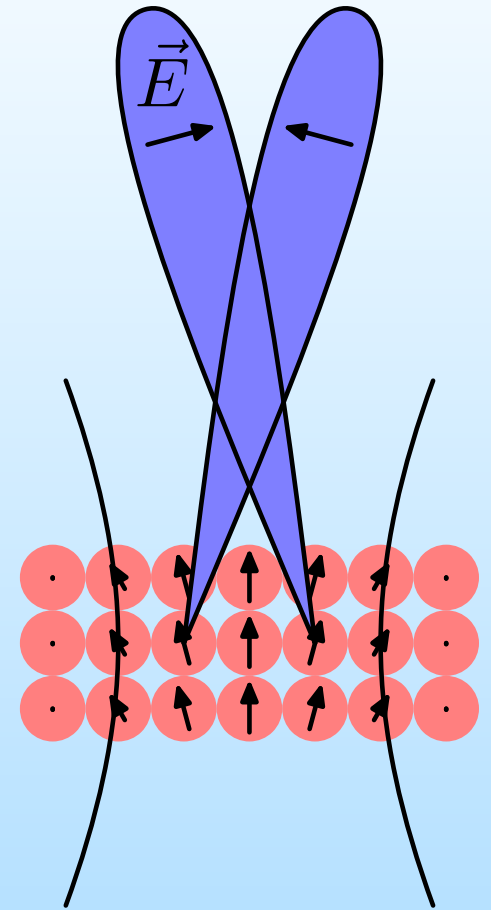
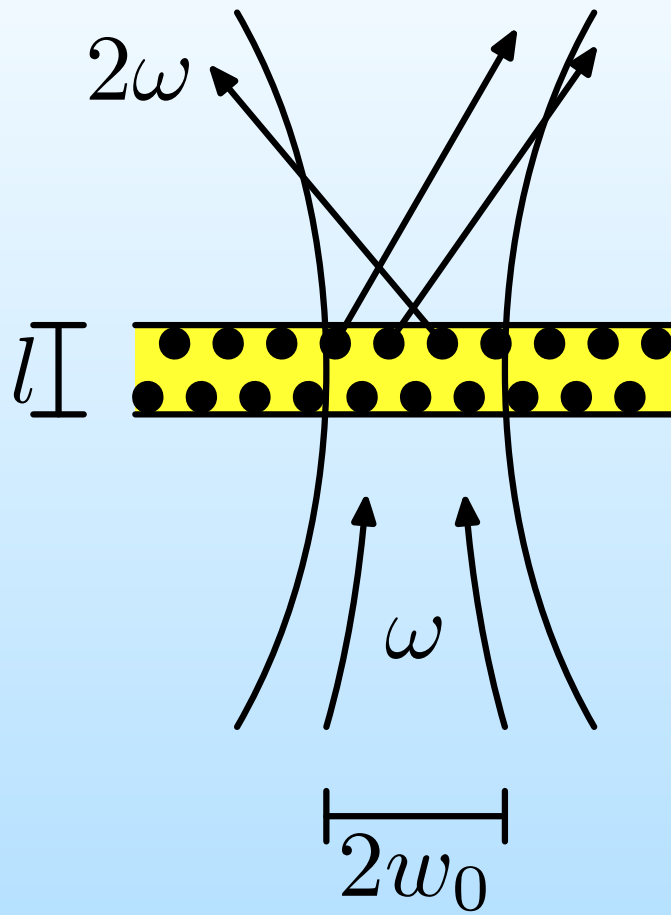


FIG. 3

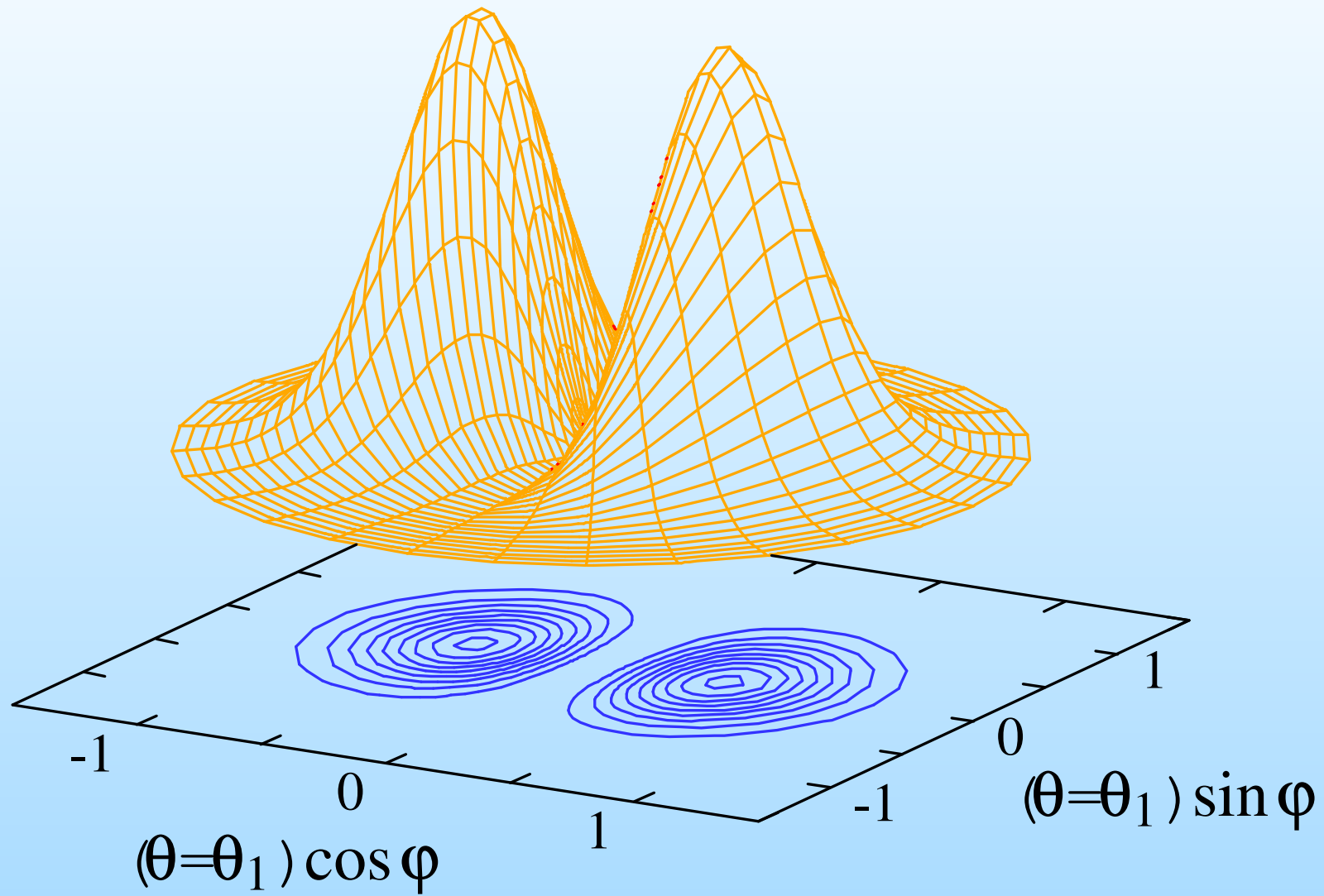
# SHG from composite film



## Theory

$$\begin{aligned}\vec{P}^{nl} &= n_s \vec{p}^{(2)} - \frac{1}{6} \nabla \cdot \vec{Q}^{(2)} && \implies \vec{j}^{(2)} \\ &= \Gamma \nabla E^2 + \Delta' \vec{E} \cdot \nabla \vec{E} && \implies \vec{A}^{(2)} \\ & && \implies \vec{E}^{(2)}, \vec{B}^{(2)} \\ \Gamma &= \frac{n_b}{18} (9\gamma^m + \gamma^q - 3\tilde{\gamma}^q) && \implies \vec{S}^{(2)} \\ \Delta' &\equiv n_b (\gamma^e - \gamma^m - \gamma^q/6), && \implies \frac{d\mathcal{E}}{d\Omega} = \frac{1}{\mathcal{P}^2} \frac{dI^{(2)}}{d\Omega}\end{aligned}$$

# Angular distribution



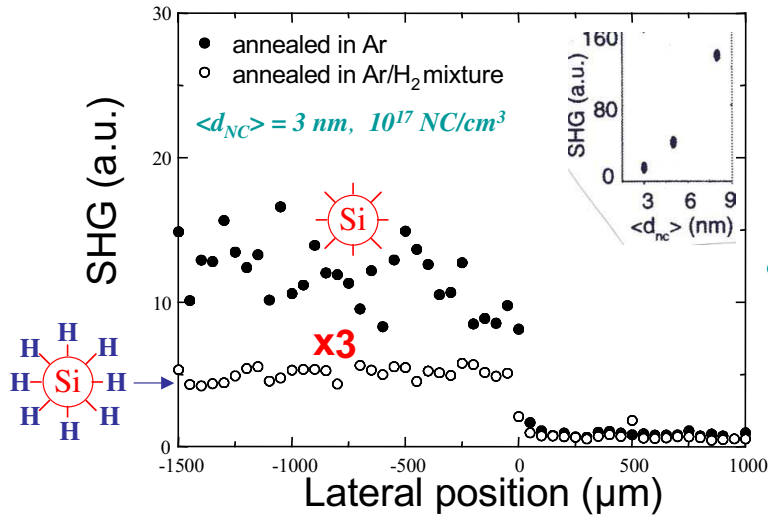
# Experiment

## Single wavelength SHG scan across boundary between nc-Si implanted glass & unimplanted glass

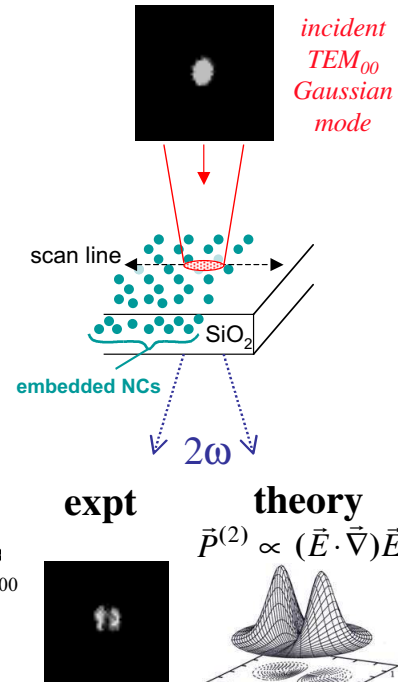
presence & size of Si NCs

SHG sensitive to: Si/SiO<sub>2</sub> interface chemistry

local particle density gradients



Jiang *et al.*, APL 78, 766 (2001)



Jiang (03)

Brudny, PRB 62, 11152 (00)  
Mochan, (03).

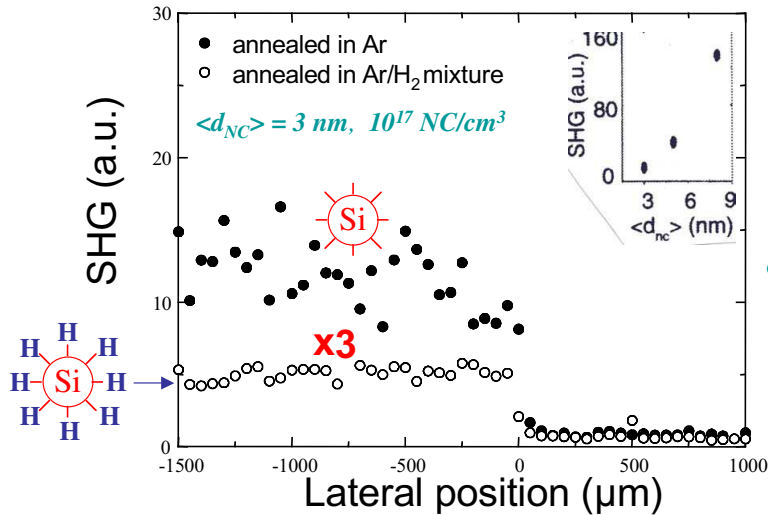
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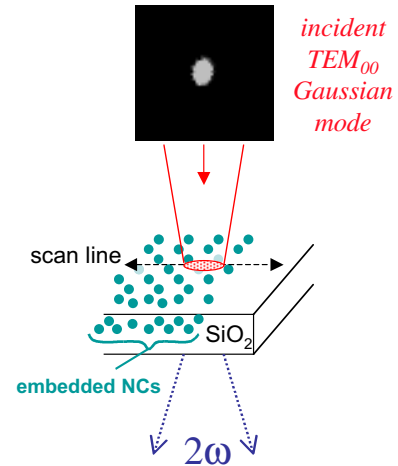
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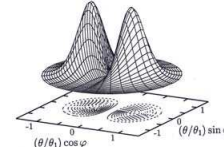
expt



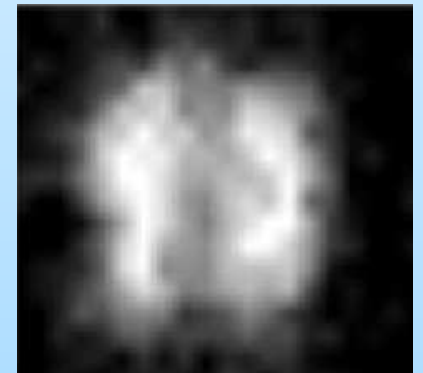
Jiang (03)

theory

$$\vec{P}^{(2)} \propto (\vec{E} \cdot \vec{\nabla}) \vec{E}$$



Brudny, PRB 62, 11152 (00)  
Mochan, (03).



Figliozi *et al.*, submitted to PRL

## Efficiency

$$\begin{aligned}\mathcal{E} &= 10^{-2} \zeta(qa_B)^4 (ql)^2 f_b^2 \theta_1^4 \frac{1}{e^2/a_B} \frac{1}{c/a_B} \\ &\approx 10^{-4} \zeta(qa_B)^4 (ql)^2 f_b^2 \theta_1^4 \mathbf{W}^{-1} \\ &\approx 10^{-24} \mathbf{W}^{-1}.\end{aligned}$$

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- Larger input power might actually yield less output power!
- Solution: Enhance transverse gradients with two beam SHG.

## Conclusions

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- The surface of isolated nanoparticles, deposited at surfaces and buried within composites may be observed with SHG.
- Quadrupolar and dipolar contributions may be comparable, giving rise to complex radiation patterns.
- There is no forward radiation, but there is nearly forward radiation from composites.
- Output power cannot be boosted simply by increasing input power.
- SHG may be enhanced orders of magnitude in two-beam geometry.